



# PET-Crops, South Sudan

A Pictorial Evaluation Tool for Crop Assessment in South Sudan



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A Pictorial Evaluation Tool (PET) for Crop Assessment  
in South Sudan

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Food and Agriculture  
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# Preface

In 2006, the first PET-manual was produced for South Sudan to provide a rapid means of assessing yields in an objective and standard manner that could be easily demonstrated, explained and repeated over and over again for as long as the crops were left standing in the field. PET-Crops, South Sudan (2015) is the latest edition of the original manual, enhanced using photo-indicators of similar crops from neighbouring countries to broaden the range of crops and increase the scope of the yield indicators.

The manual provides sets of **photo-indicators** and **instructions** how to use them to best effect employing little **more than the crop assessor's own powers of observation** and simple maths. As is stated regularly by practitioners:

*"The manual provides a very rapid way to assess how much product is likely to be harvested from growing crops at harvest time in a specific area"...and..."the process enables us to explain to farmers, administrators and other agency staff how we arrive at our conclusions".*

The manual also explains how assessors should *cross-check* their estimates by taking samples and weighing the harvest<sup>1</sup>. The effectiveness of the cross-check depends on attention to detail and the application of a rigour that is not always easy under field conditions. However, the time taken to cross-check visual estimates is well worthwhile in terms of establishing confidence in yourself and enabling you to convince others of the validity of your findings.

Crop assessing is a specialist operation that needs time and space to deliver comprehensive estimates of performance and to determine the reasons behind the results. Consequently, planners should make sure that assessors have sufficient time and facilities (dedicated vehicle for transects and case-studies, manual, PET quadrat and PET balance) to do the field work; and, should not load the teams with extra tasks connected to other aspects of food security.

Ian Robinson.

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<sup>1</sup> A process equally available to others while the crops are still standing.

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# What is PET-Crops, South Sudan?

**This manual is called *PET-Crops, South Sudan*. It shows you how to estimate the amount of crop produce in the fields at harvest time. The manual contains photographs (termed *photo-indicators*) of the main crops taken at harvest time, and arranged according to their actual levels of production.**

**By comparing the *photo-indicators* with a crop in a field, you can decide how much product may be harvested from that field. By adding-up all the values and calculating weighted averages you can arrive at an estimate of production for an area of your choice.**

When you estimate the production from a standing crop in the field at harvest time, it is called a **crop assessment**. Whatever the situation you are working in, at harvest time: a field, a village, a county or a state, PET Crops will help you determine the yield of the harvestable crops. Remember: -

**Production = Area x Yield per unit area**

Therefore, to estimate the harvest you will need to know both:

1. The size or **area** of the crop to be harvested.
2. Average **crop yield** per unit area of the land to be harvested.

**Area:** At the simplest level of assessment, field size and farm size may be measured or told to you by the farmer. At the village or locality level, crop areas can be estimated either a) by collecting data from all of the farmers and adding them together; b) by taking samples from a few farmers and multiplying the sample averages by the number of families farming in that village or locality; or c) if access to farms is difficult or denied, using historical data as a template and a combination of transects, case-studies or key-informant interviews (that may be conducted away from the areas in question by telephone/text) to determine percentage changes from the norm.

In areas with well-developed infra-structure and services, the local authorities, ministries or commissions usually use techniques a) or b). Where such levels of organisation are not available, area farmed may be calculated by multiplying estimates of the numbers of

households or farming businesses (from livelihoods surveys) by an estimate of the average area known to have been farmed in the past, adjusted by data obtained during the assessment for the year in question including:

- Data collected from statements by individual farmers.
- Data collected by active administrations.
- Data collected by projects and NGOs.
- Data extrapolated from household surveys by other agencies.
- Remote-sensed data.

Area estimates for each crop are then multiplied by estimates of crop yield per unit area to determine production. More information to help you estimate area is given in Annex 7.

**Yield per unit area:** To estimate crop yield from a known area, you could harvest the whole area and weigh the crop. However, it is much easier to mark out a *sample plot* of known area in the field, then harvest and weigh the crop within it. This weight can then be used to estimate the yield for the whole field. For small fields of less than one hectare *with an even crop*, an area of one square metre (**1 m<sup>2</sup>**) may be cut, harvested and weighed. The crop yield can then be recorded as the weight of crop harvested per one square metre or crop yield/m<sup>2</sup>. This sum may then be multiplied by 10 000 to obtain the estimated yield per *hectare* (ha).

If the field is *large and variable* you will have to take more than one sample, add up the values and calculate the average to get a representative estimate of the production of the whole field. However, taking samples from each field is a time-consuming process. During rapid assessments there is NOT ENOUGH time to sample every field, let alone take many samples per field. **Therefore, we have done the job for you!** PET-Crops has been prepared to provide ALL ASSESSORS with a manual containing a) *photo-indicators* of crops with different levels of production; and b) instructions on how to use these *photo-indicators* to assess the yields of the crops seen. The *photo-indicators*, prepared from fields in South Sudan (and neighbouring locations) have been grouped according to performance. Colours (red, yellow and blue) are used to define the groups because notations such as high, medium and low are arbitrary terms. That is to say, depending on their location, one **person's high may be another's low**. Colours are not judgemental, so you may group fields without suggesting a sense of value.

In the first instance, categorize the crop by selecting a *photo-indicator colour group* that best matches the view of fields you see in passing. Later, close-up examination of fewer fields will allow you to assign a probable yield, by consulting the more detailed information that the *photo-indicators* provide.

The yields noted will vary greatly from season to season, so all the *photo-indicators* must be consulted for all locations, that is to say **don't** 'guess'. It is important to have an open mind and not to pre-judge based on **any impressions about how 'good' or 'bad'** the season is said to be in '**early warning**' information. Remember, your work is invariably the first properly constituted check on 'early-warning' information.

**To use** *PET-Crops, South Sudan*:

- Look at the field.
- Look at the *photo-indicators*.
- Pick the *photo-indicator* that matches your field.
- Read off the yield in kilograms or tonnes per hectare (kg/ha or t/ha).

Because crop yield at harvest will differ every year in the same fields, in order to get a good idea of yield at field, farm, village and locality level, you will need to cover vast distances and visit many farms. Proper use of the manual allows you to decide for yourself, in a very short time, how much crop will be harvested from every field you have seen. You can then compare your estimates with information given by farmers, other agencies and authorities, who each have their own agendas.

Using *PET-Crops, South Sudan* will allow you to complete all **crop assessments** within the time available and with confidence. The methods used to prepare *PET-Crops, South Sudan* are noted in Annex 6.

The advantages/disadvantages of using the **PET approach**, versus traditional census surveys based on agricultural statistics, are presented in Annex 8.

# BEFORE YOU START

**It is important that you spend time reading this introduction. It explains how to use the PET-Crops/manual correctly and how to check your results.**

In the gallery of *photo-indicators* beginning on page 24 of PET-Crops you will find photographs of crops with known yields of product. All the photographs in the manual were taken and related data were obtained in the presence of senior FAO-staff, who assisted when fields were selected and samples identified, harvested, threshed/cleaned and weighed. The presentation of the *photo-indicators* of each crop follows a similar sequence conforming to all the most recent PET-Crop manuals used in other countries.

Each yield range (represented by colour) has a double page spread of **three rows** of photographs, divided into **five columns** showing **(1) field from-a-distance; (2) 1 m<sup>2</sup> in close-up; (3) the harvest taken from 1 m<sup>2</sup>; (4) the product e.g. grain or tubers harvested from the 1 m<sup>2</sup>; (5) yield** estimate figures in grams per square metre (g/m<sup>2</sup>) and tonnes per hectare (t/ha) and kilograms or tonnes per feddan (kg or t/f) depending on the crop.

When using the manual in the field, you should look at the image **from-a-distance**; then at the **close-up (2)** before considering the **yield** estimate in the final column **(5)**. **You only need to harvest the product of 1 m<sup>2</sup> from time-to-time to cross-check your judgement** (see **Step 5**).

**NB:** The photographs of the harvest in column 3 are present to show you how the yield was derived and what the harvest from **one square metre** looks like, when compared to a **reporter's** notebook (200 mm x 127 mm) and a **sickle** or a **jembe** (East African hoe), placed on the ground next to the products.

In the examples below (page 9) the *photo-indicators* come from a field of early maturing sorghum from South Sudan.



**(1) From-a-distance:** These photographs show you the field from-a-distance, giving you an idea of the *health* of the crop, *how many* plants are in the field, how *uniformly* they have grown, how *weedy* the field has become and how well the harvestable parts have *developed*.



**(2) Close-up:** These photographs show the harvestable crop in an area of **1 m<sup>2</sup>**. The photographs show the extent of cover and the size and quality of the heads and stems (stover).



**(3) The harvest:** These photographs show those parts of the crop that have been harvested from the **1 m<sup>2</sup>** shown in **(2)** above. In this case, the sorghum heads have been cut just below the head, which is the local method of harvesting.



**(4) The product:** These photographs show the product (grain/tubers/pegs) of the harvested crop shown in **(3)** above, in this case sorghum grain.

**4.60 t/ha**

**1932 kg/f**

**(5) The yield:** Weights are shown as dry matter weights in g/m<sup>2</sup>, extrapolated to t/ha and t/f or kg/f depending on the crop. Samples were air-dry at harvest or were dried to constant weight after harvest. NB: Groundnut pegs and cassava tubers are given as fresh-dug weights.

# STEP 1

## Is it a 'red', 'yellow' or 'blue' crop?

**During Step 1, you decide if the general condition of the field is indicative of a 'red', 'yellow' or 'blue' crop. To do this you should look at the 'from-a-distance' *photo-indicators* in the gallery section of this manual and compare them with the farmer's field. Looking from-a-distance will give you an impression of the overall quality of the crop and tell you if the crop is variable or even.**

Turn to the *photo-indicator gallery* beginning on page 25 and select the crop you wish to assess. Look at the '**from-a-distance**' photographs for the red, yellow and blue levels of production for that crop.

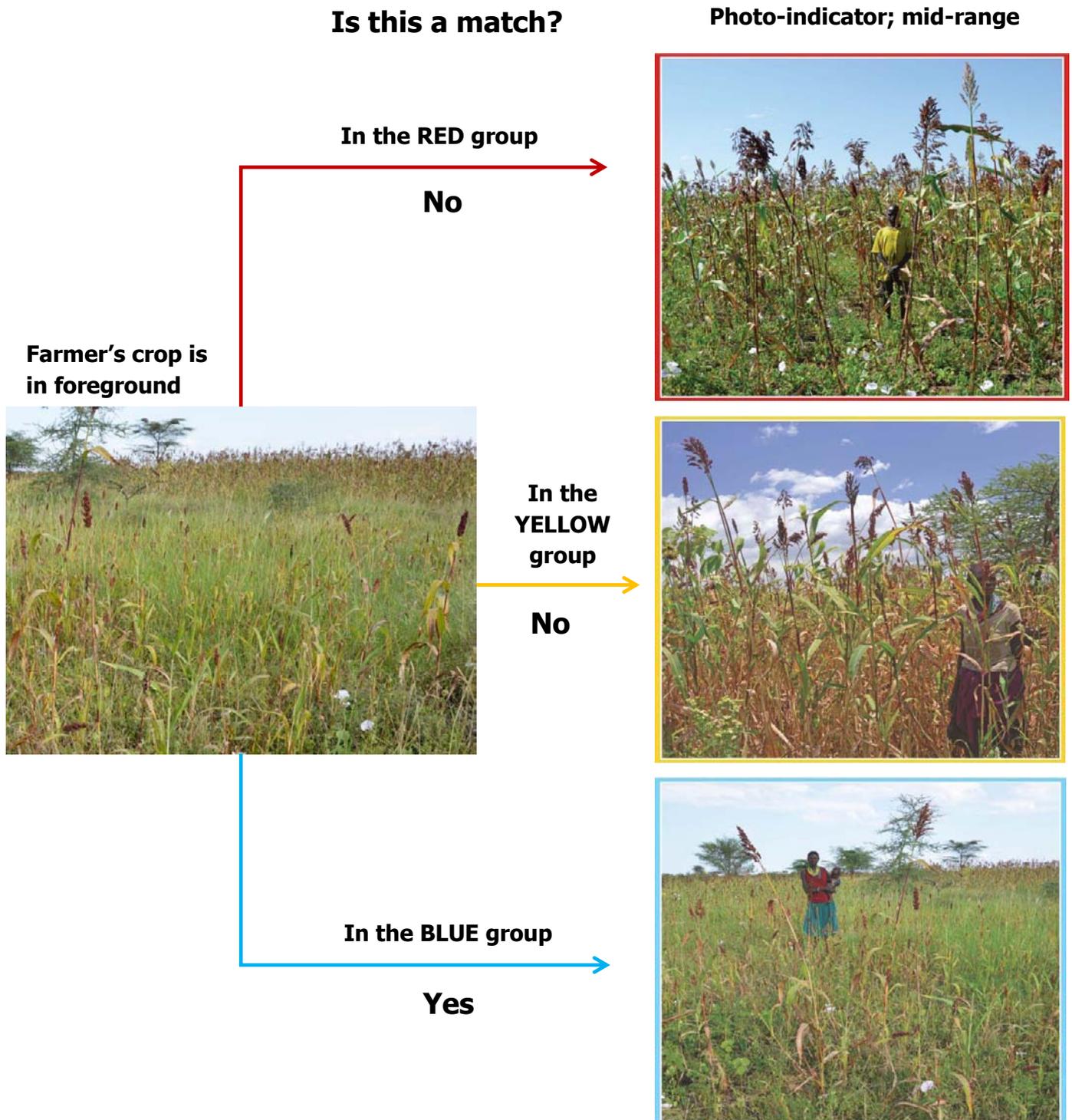
There are three levels of *photo-indicators* for each colour, making nine possible yields to choose from, PLUS levels may be judged to be *above*, *below* or *in-between* the values indicated.

The photographs '**from-a-distance**' have been taken from several metres away. If you stand at a similar distance from the field (or you can observe from a slow-moving vehicle), you may compare your crop with the first *photo-indicator* column and decide which colour group most closely matches your field.

There are notes next to the photographs; read these carefully because they will tell you what to look for when deciding if your field is in the '**red**', '**yellow**' or '**blue**' group.

The following pictures summarise the process of placing your crop in the correct group.

Which photograph is the best fit from-a-distance?



In the above example, the crop yield is clearly lower than red and yellow *photo-indicators*. Therefore, the **farmer's crop** falls into the blue group of *photo-indicators*. The selection should be confirmed by working through **Step 2**.

## STEP 2

### Confirming your choice

**Confirm your choice in Step 2 by looking more closely at the field. If the field is small, walk up to it and look closely at a small area that you think represents the whole field. Compare it with the 'close-up' photo-indicators to confirm your choice of colour code and then pick the level within that colour that matches the field most closely.**

**For larger fields, you will need to take a close look at several places, either by a) walking around the edge; or b) walking through the middle of the field in an organised fashion, matching crop with indicators and recording the result at regular intervals.**

When using the '**close-up**' *photo-indicators*, you should concentrate your gaze on **one square metre of crop**, which you can judge by standing with your feet one metre apart and projecting your gaze forward to make a square. **If you are uncertain** about how to do this, use a 1 m<sup>2</sup> **PET quadrat** to define your area by placing the quadrat where it will be clearly visible, as shown in the next group of photographs. Your **close-up** view is unlikely to be exactly the same as a *photo-indicator*, so you will need to decide which one is the best match; or between which two *photo-indicators* your plot falls. For instance:

- You may see more soil through the canopy, more gaps, more weeds and the plants may look less strong and healthy than they looked when viewed from-a-distance.
- Or, the plants may look stronger and the parts that will be harvested, such as the heads or cobs and the stems, may also look larger or stronger (thicker) than when you looked at the crop from-a-distance.
- Due to tiller and flower formation, the number of plants may not necessarily be the same as the number of heads or cobs in the *photo-indicator*, so you need to consider head/cob number and size.

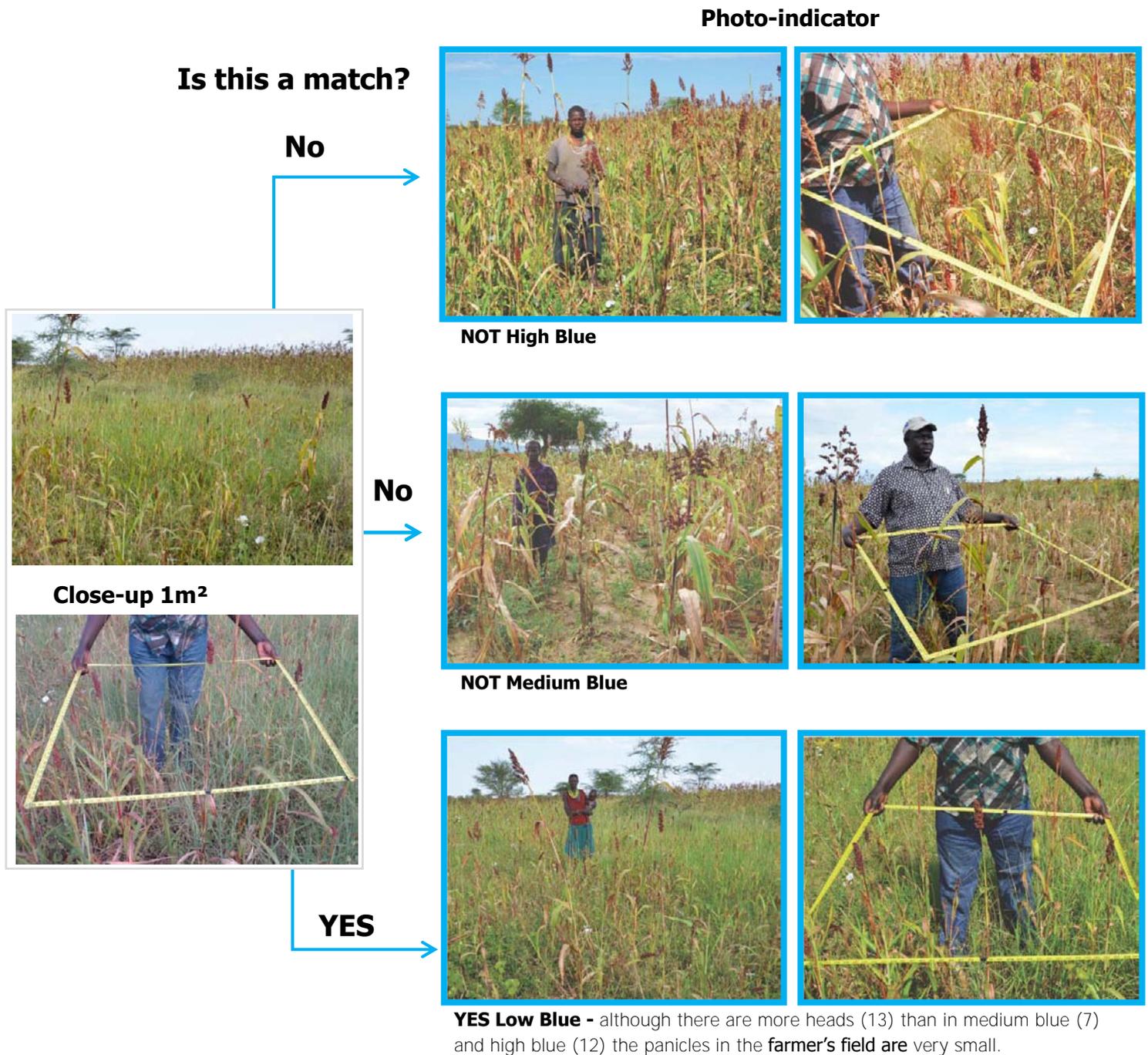
**NB: Your brain is better than any computer** in its flexible reading of situations. So do not be afraid if your **intuitive response** is to adjust your decision and, if necessary, change your mind from your initial selection based on the **from-a-distance** observation.

In **Step 1 (from-a-distance)**, the **blue group** was identified. The **close-up** shows that the **closest fit** is the lowest blue *photo-indicator*. Although there are more heads than in the medium blue *photo-indicator*, the panicles are very small.

**Photo-indicator**

**Is this a match?**

**No**



**Close-up 1m<sup>2</sup>**

**NOT High Blue**

**NOT Medium Blue**

**YES Low Blue** - although there are more heads (13) than in medium blue (7) and high blue (12) the panicles in the **farmer's field** are very small.

In **Step 1** you observed **from-a-distance** that the crop is probably in the blue group.

In **Step 2** you confirmed from the **close-up** *photo-indicators* that your crop is at the lowest end of the blue range.

## STEP 3

### Estimating the yield in tonnes/hectare

**In Step 3, you assign a value in t/ha, t/f or kg/f to your crop, using the final column in the sequence, which shows the value of the 'harvest' from 1 m<sup>2</sup>, as well as final yield estimates per hectare.**

The photographs on the right hand page (columns 3 and 4) show how the yields of the products were derived in grams per square metre. *These only need to be referred to when cross-checking your results (Step 5):*

- Depending on the crop, the photos in column 3 show the heads, cobs, tubers or plants harvested from 1 m<sup>2</sup> sample plots of the crop shown in column 2.
- The photos in column 4 show either threshed grain, tubers or pegs harvested from the 1 m<sup>2</sup> sample plot.

The yield in column 5 gives the weighed production of the sample plot in units of grams per square metre (**g/m<sup>2</sup>**), extrapolated to tonnes per hectare (**t/ha**), kg per feddan (**kg/f**), and for cassava tonnes per feddan (**t/f**). Once you have selected the **close-up photo-indicator that best fits your farmer's field**, you can read off the yield from column 5.

In the example above, the closest fit is **low blue** at **25 g/m<sup>2</sup>** which is **0.25 t/ha or 105 kg /f**. Record this yield, move on to the next plot and repeat the exercise<sup>2</sup>.

**NB:** As noted earlier, it is unlikely that your crop will look exactly like the one in the photograph. If this is the case, choose a yield in-between the values of the two closest *photo-indicators*. For example, if your crop looks half-way between the low blue (0.25 t/ha) and high blue (0.75 t/ha) *photo-indicators*, you can estimate your yield as:  
 $(0.25 + 0.75) / 2 = 0.5 \text{ t/ha}$ .

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<sup>2</sup>Remember - only harvest and weigh your sample of 1 m<sup>2</sup> when you decide to cross-check your results (see **Step 5**).

# STEP 4

## Accounting for field variability

**Often you see parts of the field where the crop has been damaged by too much or too little water, pests or diseases, weeds, poor seed quality or shallow top soil. Therefore, the crop will vary across the field and the yield per square metre will be different from place-to-place.**

**When you are assessing, you will need to take account of this variability. How you will do this will depend on whether you are assessing a small (less than 0.5 ha) or large field:**

### **Small fields**

When a small field is variable, stand back and study it, to decide which proportions are **'high'** or **'medium'** or **'low'**. For example, look closely at the photograph of late maturing sorghum below, taken **from-a-distance**. You can see that, where the man is standing, is less productive with more weeds, fewer plants and smaller heads than crop development on the left and right of the picture.

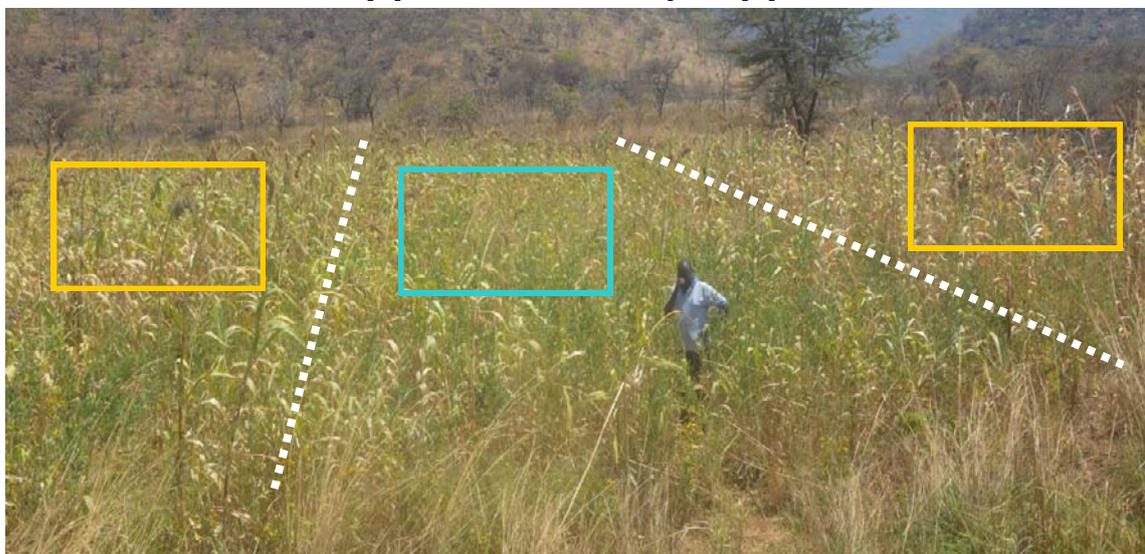


**From-a-distance** *photo-indicators* point to a combination of yellow and blue levels. Therefore, **two** PET estimates are needed for this field, from which a *weighted average* will be calculated as shown below.

## Estimating the yield of a variable field of sorghum - follow notes 1 to 5.

**1. Stand where you can see the whole field.**

**2. This field divides into three parts. Within two parts the crop looks similar. Therefore, in this case, two estimates are required: one for the yellow parts (2) and one for blue part (1).**



**3. Determine whether each part is 'red', 'yellow' or 'blue'.**

**Approximately one third** of the field looks most like a **blue** PET crop *photo-indicator*.



**Two thirds** of the field looks most like a **yellow** PET crop *photo-indicator*.

This part of the field is similar to the 50 g/m<sup>2</sup> *photo-indicator*.



**4. Estimate crop yield for each part of the field by following the instructions for STEP 3 PET-Crops.**



This part of the field is similar to the 190 g/m<sup>2</sup> *photo-indicator*.



**0.5**

= Estimated yield =



**1.9 t/ha**

## 5. Calculate the crop yield of the variable late-maturing sorghum field.

The sorghum field is producing at two levels, one 'low blue' and one 'low yellow'.

The 'blue' makes up approximately **one third** (33%). The 'yellow' makes up approximately **two thirds** (67%).

Therefore, the weighted average yield per hectare (**wA**) in the variable sorghum field is:

- wA** in t/ha = (low blue yield in t/ha x 33%) + (low yellow yield in t/ha x 67%)
- Crop yield from the 'low blue' part =  $0.5 \times 0.33 = 0.16$
- Crop yield from the 'low yellow' part =  $1.9 \times 0.67 = 1.27$

To calculate the total crop yield for the field you therefore simply add together the crop yield of each part of the field (b + c):

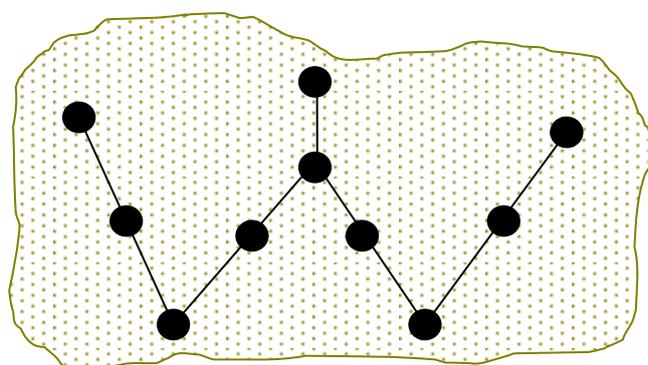
$$\mathbf{wA \text{ in t/ha} = 0.16 \text{ t/ha} + 1.27 \text{ t/ha} = \mathbf{1.43 \text{ t/ha}}$$

**Therefore the estimated sorghum yield of this small field is 1.43 t/ha.**

### Large fields

When assessing large, variable fields, it is difficult to visually assess the proportions of the field that are 'high', 'medium' or 'low'. Therefore, for large fields, you should walk in a W shape across the field (Figure 1 below) and make assessments of yield at up to 10 stations spaced at regular intervals.

**Figure 1:** Sampling up to 10 stations at regularly spaced intervals.



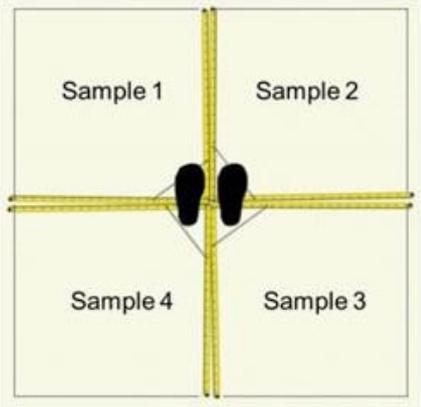
As described in **Step 2**, concentrate your gaze on **one square metre of crop** or, if you are uncertain about doing this, use a 1 m<sup>2</sup> **PET quadrat** to define the area. Compare it with the 'Close-up' photo-indicators in the gallery to confirm your choice of colour and then pick the level and yield within that colour that the field most closely matches.

To work more accurately, you can increase your sample number by rotating through 360 degrees at each sampling station. **Rotate 90°** clockwise after each estimate is made, so that 1 m<sup>2</sup> is sampled 4 times, as shown in Figures 2 and 3 below.

**Figure 2:** Rapid scoring of yield.



**Figure 3:** Arrangement of samples taken at each station.



Calculate the average (**mean**) yield of each station, by adding up the 4 sample yields and dividing this result by 4.

Then estimate the overall yield of the whole field, by adding up the mean yields of ALL of the stations and dividing this result by the total number of stations visited.

# STEP 5

## Cross-checking your results

**To make sure that your estimates of crop yield based on the *photo-indicators* are accurate and that mistakes are not being made, it is important that you regularly *cross-check* your results.**

It is recommended that you cross-check your estimates regularly, especially in the following situations:

- If your estimates are different to what you expected – for example significantly lower or higher than other contemporary assessments.
- When using *PET-Crops* for the first time.
- When estimating the yield for a new crop in your experience.
- When estimating crop yield in a new region.
- When there is a dramatic change in the ecology of the area in which you are travelling.
- Finally, at regular intervals even if you are always working on the same crop in the same region, because mistakes can be made through complacency.

Cross-checking involves cutting, harvesting and weighing the harvested parts of *a sample* from a station in the field that you have **previously** estimated using the *photo-indicators*. This means harvesting and threshing 1 m<sup>2</sup> **areas of the farmer's crop**; and *if necessary*, drying them to a constant weight; then comparing these final weights with earlier estimates made using the method described in **Steps 1 to 4** above.

When cross-checking, samples should be taken from a minimum of three separate fields during the day. To implement, follow the notes below:

## 1. Choosing the field

Try to choose a field where the crop does not change too much<sup>3</sup>. If this is not possible and the fields are very variable, then divide the field into different parts, and take a sample from the easiest section as explained in **Step 4**.

Ideally, the fields you sample from should be harvestable *i.e.* the crop is dry and ready to harvest. For cereals, you can test this by biting into the grains - harvestable grains will be hard to bite into and will break when bitten. Fields of grain which have a soft bite (*i.e.* the teeth sinking into the grain) may be used, but more drying will be required later to determine the dry matter content of the crop (see **Step 6**).

## 2. Selecting the areas of the field for crop cutting (sampling)

This depends on the crop you are cross-checking:

- For all short stover/ straw crops, such as rice, finger millet or groundnuts, take a long stick, mark the end clearly and ask the farmer to turn their back to the crop and throw the stick over their head into the crop. Where the stick lands in the crop is where you will position your square frame or quadrat for the crop cutting. Push the marked end of the stick upright into the ground.
- For tall crops (including tall stover crops) such as sorghum, maize, pearl millet or cassava, select your sample position by lottery<sup>4</sup>.

## 3. Placing the quadrat in the crop

A quadrat is used to mark the area from which the crop cutting is to be taken. The quadrat should be placed with the marked end of the stick at its centre or, for tall crops, with the sample position that was picked by lottery in its centre.

## 4. Score

Now, using the **close-up photo-indicators**, assess the crop inside the quadrat and record your yield estimate in g/m<sup>2</sup> (as described earlier in **Steps 1-4**).

## 5. Harvesting

Harvest the plants growing from the soil inside the quadrat. Cut off all the heads or cobs from the stems, then count and record the number before placing them in a clean, empty plastic bag. When sampling groundnut or cassava, lift the whole plant carefully from the soil,

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<sup>3</sup> Choosing a highly variable field IS NOT RECOMMENDED because you are cross-checking your own judgement to see how far 'out' you are in your estimate. Experience shows that a variable field is more distracting - so make it as easy as possible!

<sup>4</sup> The actions described in point 2 involve the farmers, which helps them identify with the results. A spontaneous lottery may be derived by dividing the field (mentally) into a grid with letters and numbers as the two axes; writing the combinations on pieces of paper (tickets) and asking the farmer to select a ticket from an unseen source (*e.g.* hat, box, envelope).

ensuring that you do not leave pegs or tubers in the ground. Gently shake or rub any surplus soil from the pegs or tubers.

## 6. Threshing cereal samples

Remove the heads or cobs from the bag and thresh or shell them, trying to minimise any loss of grain as you do so. If possible, ask the farmer to thresh and winnow the harvested parts in their usual manner. The threshed grain should then be placed in a clean bag and weighed.

## 7. Weighing

Before each weighing, the **spring balance scale (supplied with the PET manual and quadrat)** should be set to zero when a clean, empty bag is attached to the hook. Weigh your samples in a sheltered position away from wind and record the weight as  $W_f$  which is termed fresh weight. Samples taken from field dry crops can now be returned to the farmer. Samples from fields that are not air dry will need to be removed for further drying (see **Step 6**).



## 8. Comparing your results

The weights of samples from field dry crops can now be compared with the  $g/m^2$  yield estimated from the **close-up photo-indicators** in item **4** above. They will not be exactly the same, but as long as they are closer to these yields than those of other *photo-indicators*, you will know that you have been correct in your choice. If they are not, you will need to adjust your estimates.

## 9. Repeating the measurements

A single sample should be taken from each significantly variable portion of the field. However, remember that this is a rapid technique and that sample numbers should match the time available. For small fields less than 0.5 ha, one sample is usually enough but no more than two cross-check samples are recommended<sup>5</sup>.

## 10. Converting grams per square metre to kg per hectare and tonnes per hectare

If the fresh weight  $W_f$  is in grams per square metre ( $g/m^2$ ); to obtain fresh yield ( $Y_f$ ) in  $g/hectare =$  multiply by 10 000 (10 000 sq m = 1 ha).

To obtain  $Y_f$  in  $kg/hectare$ , divide this answer by 1 000 (1 kg = 1 000 g).

To obtain  $Y_f$  in  $tonnes/hectare$ , divide the answer in  $kg/hectare$  by 1 000 (1 t = 1 000 kg).

To obtain  $Y_f$  in  $tonnes/feddan$ , divide the answer in  $tonnes/hectare$  by 2.38 (see Annex 1).

---

<sup>5</sup> Stratified sampling - divide the field in two and take one sample from each part of the field.

## STEP 6

### Drying to constant weight

**Grain crops harvested before they are 'ready' contain moisture that may make up 20%-30%-40% of their weight, depending on how far off they are from the normal harvesting condition. When checking your use of the *photo-indicators*, samples of cereal crops should be *dry* if they are to provide accurate estimates of actual yield of grain.**

When it is not possible to find a crop that is already air dry in the field, samples should be dried after harvest, so that all that remains is dry matter (DM), which is a more accurate estimate of the value of the crop. The weight of dry matter can be determined by drying the sample until its weight does not change *i.e.* the sample reaches a *constant weight*.

**NB:** Groundnut and cassava crops do not need drying as they are quoted as their fresh-dug weights.

Therefore:

1. If the grains are hard to bite and break (snap) when bitten, *record their weight* in grams per square metre; and (as outlined in **Step 5**) *give the sample back* to the farmer – there is no need to do any more weighing or drying.

2. If the grains are soft to bite, they must be dried until they lose no more weight. In this case, the assessor needs to take the sample back to base for repeat drying and weighing. Follow the steps as listed below:

a) *Record the fresh weight*; that is the weight (Wf) in grams per square metre straight after threshing or shelling.

b) *Negotiate* a purchase price with the farmer for the sample.

c) Place each sample separately in clearly marked cotton bags or thick paper envelopes. Do not store in polythene bags as the samples will 'sweat' and spoil. A label fixed firmly to the bag or stuck on the envelope should show the **assessor's name, region, district, village, date, crop, farmer's name, sample number, fresh weight and the time when the sample was taken.**

d) *Seal* the bag/envelope and place securely in the vehicle.

e) Upon arrival, *re-weigh* the sample and *record* this *weight*  $W_1$ .

f) *Dry* the sample in a micro-wave oven at *low* intensity for two minutes, *allow to cool*, *re-weigh*, and *record the weight*  $W_2$ .

g) *Repeat* the process until two consecutive weights are the same *i.e.* you have reached *constant weight*,  $W_c$ .

h) As long as no grain has been lost in transit and in the operations at base,  $W_c$  is the Dry Matter (DM) weight of your sample. Look to see which *photo-indicator* matches  $W_c$ . If this is the same as your initial choice, then you know that your initial choice was correct.

### **Sub-sampling**

If the sample is too big to bring back to base (bigger than 150 g), you need to *sub-sample*. To do this, weigh your sample in the field to obtain  $W_f$  as usual. Mix it thoroughly, then immediately remove a portion of this main sample (the sub-sample) and weigh it to obtain  $W_s$ ; package and label the sub-sample as normal and take it back to base; dry the whole sub-sample to constant weight  $W_{cs}$  as described in f) and g).

To find the dry matter (DM) yield in  $g/m^2$ , multiply the weight of the original harvested sample  $W_f$  by the dry matter percentage, DM % *i.e.*  $W_{cs} / W_s \times 100\%$ .

For example, using the photo-indicators, an assessor estimates the yield of a uniform maize field that is not yet field dry at 3.42 t/ha (just above the middle yellow indicator).

To cross-check this estimate, a threshed sample taken from 1  $m^2$  is weighed, at 510g ( $W_f$ ). This is thought too large to remove for drying, so a sub-sample is taken and weighed, at 100 g ( $W_s$ ). This is packaged and labelled and taken back to base, then dried to a constant weight and reweighed, at 76 g ( $W_{cs}$ ).

The dry matter percentage of the sample is therefore:

$$76/100 \times 100\% = 76\%.$$

The DM yield is  $76\% \times 510 = 388 g/m^2$  or 3.88 t/ha.

### **Adjustment to use of photo-indicator**

Because  $388 g/m^2$  is much nearer to  $420 g/m^2$  (which is the top of the yellow *photo-indicator*), than  $342 g/m^2$ , this suggests that the assessor may have been estimating yield too low and needs to make adjustments.

## *Photo-indicator gallery*

## Crops in order of appearance

### **Sorghum - early and main crops (3 double pages - 9 *photo-indicators*)**

- Red Range 3.50 t/ha to 6.00 t/ha
- Yellow Range 1.45 t/ha to 2.65 t/ha
- Blue Range 0.20 t/ha to 1.20 t/ha



### **Sorghum - late maturing (3 double pages - 9 *photo-indicators*)**

- Red Range 2.25 t/ha to 3.35 t/ha
- Yellow Range 1.70 t/ha to 2.10 t/ha
- Blue Range 0.20 t/ha to 1.00 t/ha



### **Maize (3 double pages - 9 *photo-indicators*)**

- Red Range 5.00 t/ha to 7.05 t/ha
- Yellow Range 2.30 t/ha to 4.20 t/ha
- Blue Range 0.25 t/ha to 1.68 t/ha



### **Pearl Millet (3 double pages - 9 *photo-indicators*)**

- Red Range 2.00 t/ha to 2.80 t/ha
- Yellow Range 1.05 t/ha to 1.55 t/ha
- Blue Range 0.14 t/ha to 0.85 t/ha



### **Finger millet (3 double pages - 9 *photo-indicators*)**

- Red Range 2.52 t/ha to 3.30 t/ha
- Yellow Range 1.20 t/ha to 2.15 t/ha
- Blue Range 0.25 t/ha to 1.11 t/ha



### **Rice (3 double pages - 9 *photo-indicators*)**

- Red Range 3.80 t/ha to 6.12 t/ha
- Yellow Range 1.45 t/ha to 3.00 t/ha
- Blue Range 0.10 t/ha to 0.90 t/ha



### **Cassava (3 double pages - 9 *photo-indicators*)**

- Red Range 30.00 t/ha to 41.52 t/ha
- Yellow Range 15.00 t/ha to 25.14 t/ha
- Blue Range 3.25 t/ha to 8.80 t/ha



### **Groundnut (3 double pages - 9 *photo-indicators*)**

- Red Range 2.60 t/ha to 3.55 t/ha
- Yellow Range 1.50 t/ha to 2.40 t/ha
- Blue Range 0.10 t/ha to 1.20 t/ha



### **Sunflower (3 double pages - 9 *photo-indicators*)**

- Red Range 2.60 t/ha to 2.85 t/ha
- Yellow Range 1.80 t/ha to 2.10 t/ha
- Blue Range 0.42 t/ha to 1.25 t/ha



**NB: Yields are also quoted as kg/feddan ; a conversion chart to bags (*shuwa*)/feddan is provided in Annex 10.**

# Sorghum - early and main crops

From-a-distance

Close-up

- Local landrace
- Tall, healthy crop
- High plant density
- 6-8 plants/m<sup>2</sup>
- 8 heads/m<sup>2</sup>
- Medium to large sized heads
- Most grain heads fully formed
- Few weeds



- Local landrace
- Well grown crop - thick stems
- High plant density
- 8 plants/m<sup>2</sup>
- 14 heads/m<sup>2</sup>
- Most heads well formed
- No disease
- No bird damage
- Few if any weeds



- Local landrace
- Variable growth
- Uneven crop
- 4 plants/m<sup>2</sup>
- 6 heads/m<sup>2</sup>
- Different sized heads - some small
- No disease
- No bird damage
- Well weeded



# Sorghum - early and main crops

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

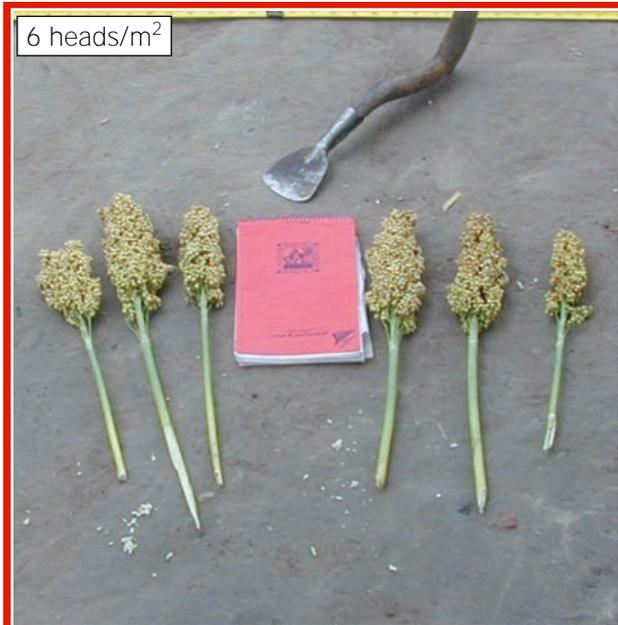
Yield



6.00 t/ha  
2520 kg/f



4.60 t/ha  
1932 kg/f



3.50 t/ha  
1470 kg/f

# Sorghum - early and main crops

From-a-distance

Close-up

- Local goose neck sorghum
- Uneven stand - variable height
- 4-5 plants/m<sup>2</sup>
- 4 heads/m<sup>2</sup>
- Well formed heads varying in size
- Some streak and smut
- Some weeds



- Local landrace
- High plant density
- Tall, even crop
- 18 plants/m<sup>2</sup>
- 18 heads/m<sup>2</sup>
- Mixed heads, elongated



- Landrace *Akiriri*
- Variable stand
- Tall, even crop
- 7 plants/m<sup>2</sup>
- 7 heads/m<sup>2</sup>
- Elongated heads, varying in size



# Sorghum - early and main crops

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



2.65 t/ha  
1113 kg/f



2.07 t/ha  
869 kg/f



1.45 t/ha  
609 kg/f

# Sorghum - early and main crops

From-a-distance

Close-up

- Local seed
- Poor growth
- Gaps in crop
- 4 plants/m<sup>2</sup>
- 4 heads/m<sup>2</sup>
- Poorly filled heads
- Some plants may be diseased
- Some plants may have bird damage
- No weeding



- *Feterita* type
- Even stand
- 5 plants/m<sup>2</sup>
- 5 heads/m<sup>2</sup>
- Poor plant development
- 16% reduced head formation
- Small, elongated heads



- *Wad ahmed* type
- Uneven stand
- 3 plants/m<sup>2</sup>
- 1 head/m<sup>2</sup>
- Wide spaces and clumps
- Low plant density
- Only 30% head formation
- Small, elongated heads



# Sorghum - early and main crops

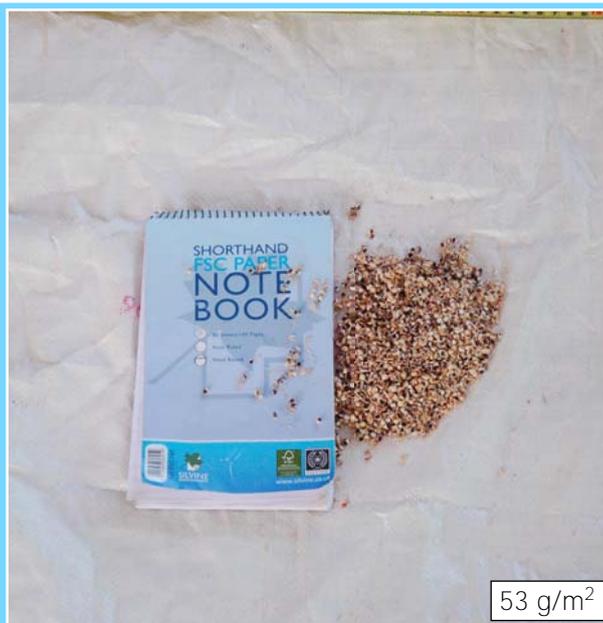
Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

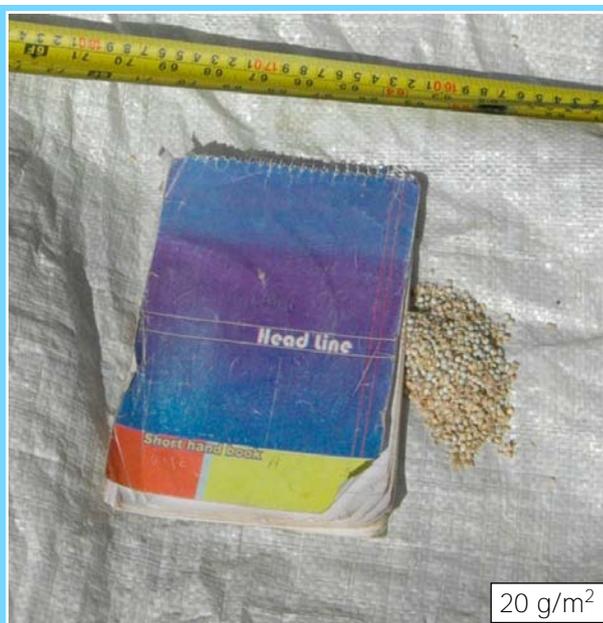
Yield



1.20 t/ha  
504 kg/f



0.53 t/ha  
223 kg/f



0.20 t/ha  
84 kg/f

# Sorghum - late maturing

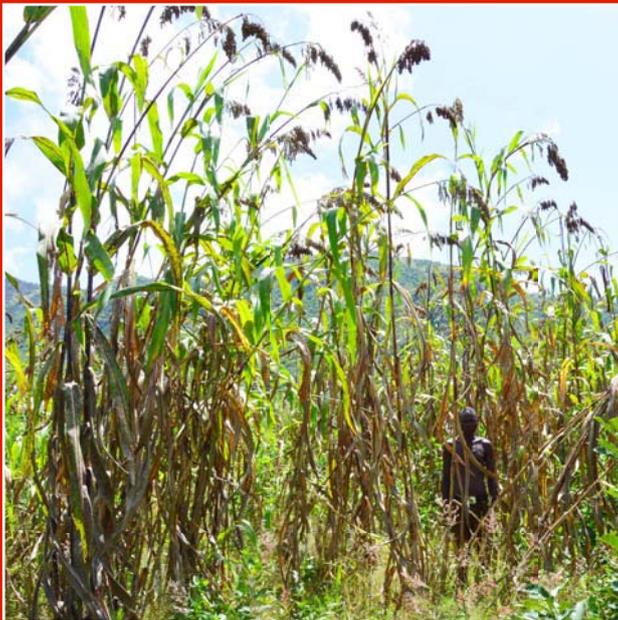
From-a-distance

Close-up

- Local landrace
- Even stand - appropriate plant density
- 8 plants/m<sup>2</sup>
- 8 heads/m<sup>2</sup>
- Weeded once
- Below potential



- Local landrace - own seed
- Even stand
- Low plant density
- 4 plants/m<sup>2</sup>
- 4 heads/m<sup>2</sup>
- Weeded once
- Below potential



- Local landrace
- Uneven stand
- Plant density high
- 13 plants/m<sup>2</sup>
- 13 smaller heads/m<sup>2</sup>
- Weeded once only
- High density - lowers weed competition

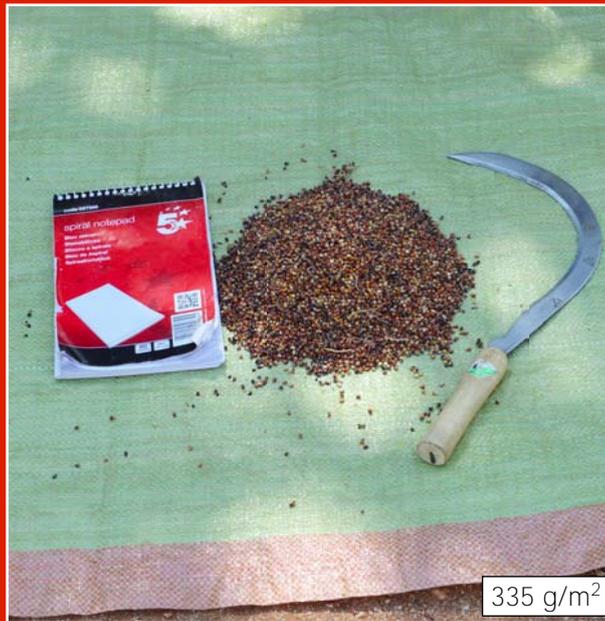


# Sorghum - late maturing

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

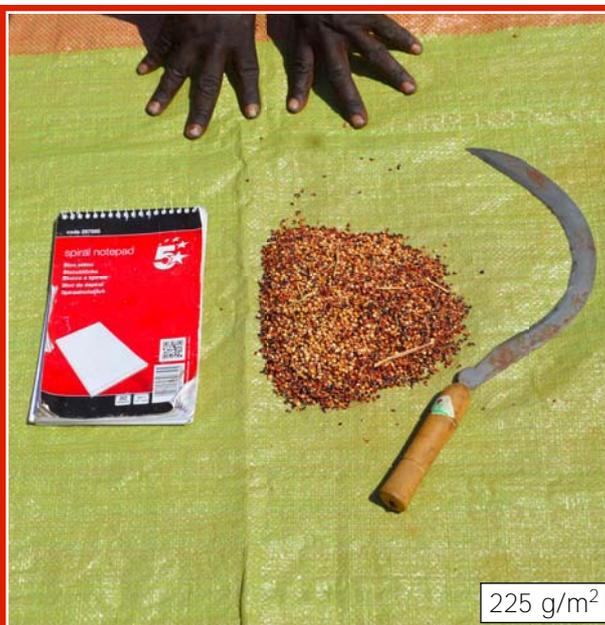
Yield



3.35 t/ha  
1407 kg/f



2.64 t/ha  
1109 kg/f



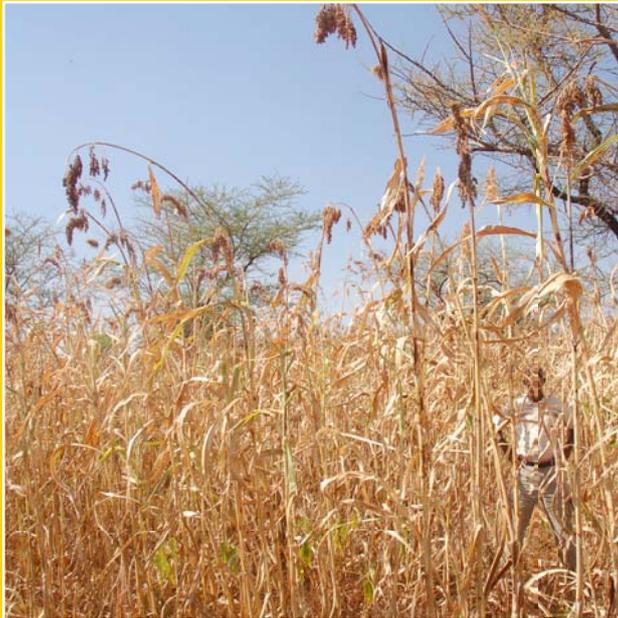
2.25 t/ha  
945 kg/f

# Sorghum - late maturing

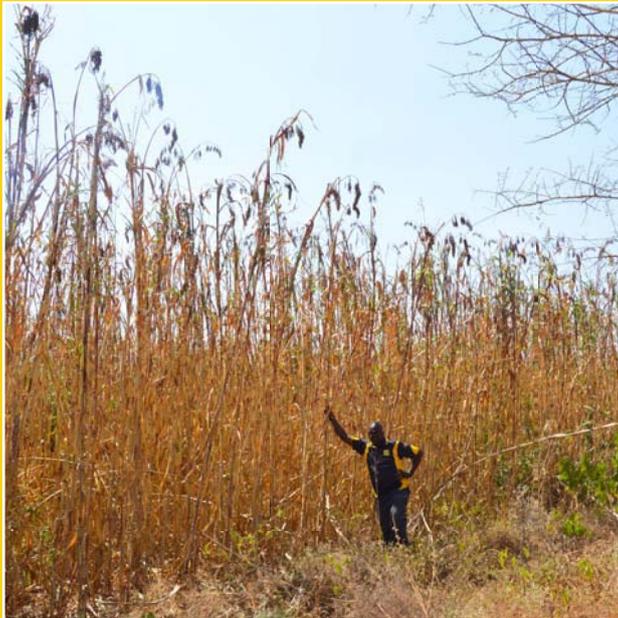
From-a-distance

Close-up

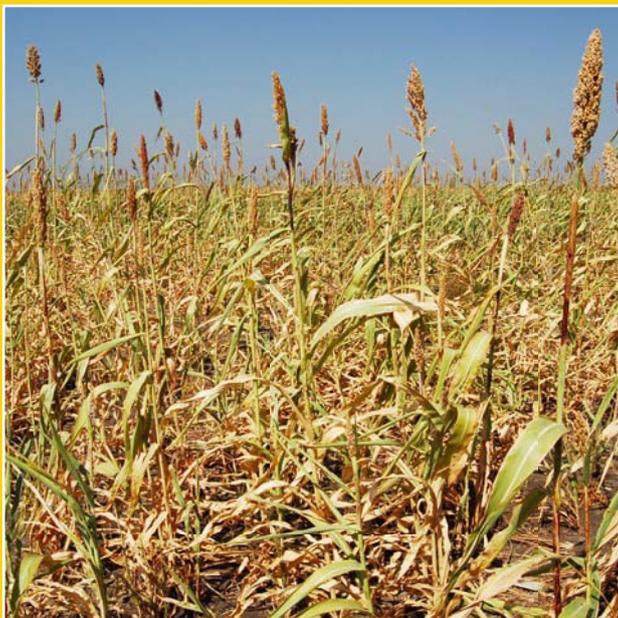
- Local seed
- Very dry crop
- Even stand
- Plant density fair
- 7 plants/m<sup>2</sup>
- 6 heads/m<sup>2</sup>
- Low weeds
- Weeded twice



- Local landrace
- Even stand
- Plant density fair
- 7 plants/m<sup>2</sup>
- 6 heads/m<sup>2</sup>
- Low weeds
- Weeded twice



- Local landrace
- Very dry
- Well-grown
- Dense crop
- 7 plants/m<sup>2</sup>
- 7 heads/m<sup>2</sup>
- Thin, well formed heads
- Well weeded
- No diseases
- No bird damage

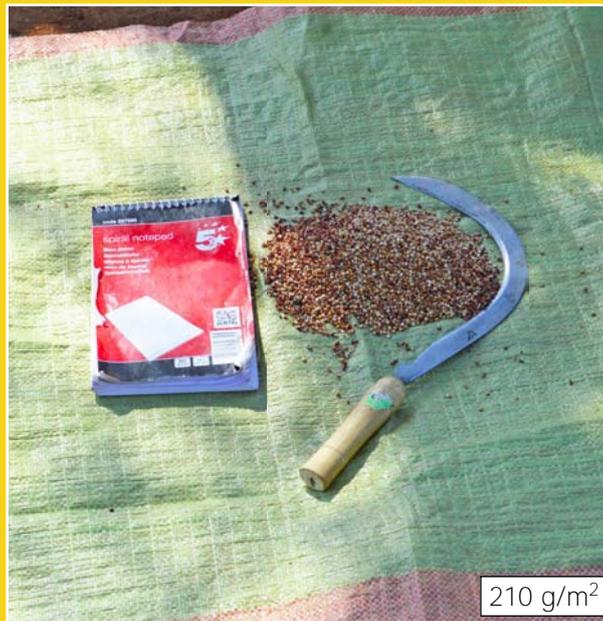


# Sorghum - late maturing

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



2.10 t/ha

882 kg/f



1.90 t/ha

798 kg/f



1.70 t/ha

714 kg/f

# Sorghum - late maturing

From-a-distance

Close-up

- Local landrace - own seed
- Thin, poor stand
- Many weak plants
- Low plant density
- 2-4 plants/m<sup>2</sup>
- 4 heads/m<sup>2</sup>
- Many weeds
- Evidence of damage to crop from smut and birds



- Local seed
- Poor stand
- Dry
- Low plant density
- 2 plants/m<sup>2</sup>
- 2 variable heads/m<sup>2</sup>
- Choked with weeds
- No weeding of plot



- Local landrace
- Very late sown
- Establishment very poor
- Very large gaps
- <1 plant/m<sup>2</sup>
- 1 head/4 m<sup>2</sup>
- Thin heads
- No weeding
- Maybe plant disease
- Some plants may have bird damage



# Sorghum - late maturing

Harvest from 1m<sup>2</sup>

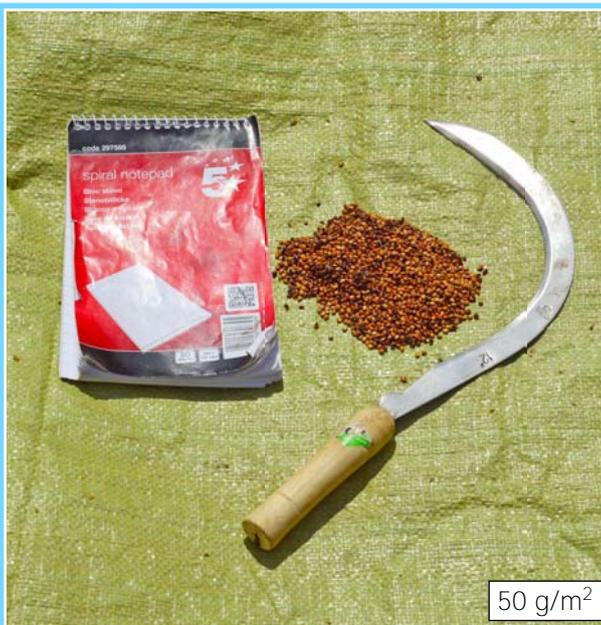
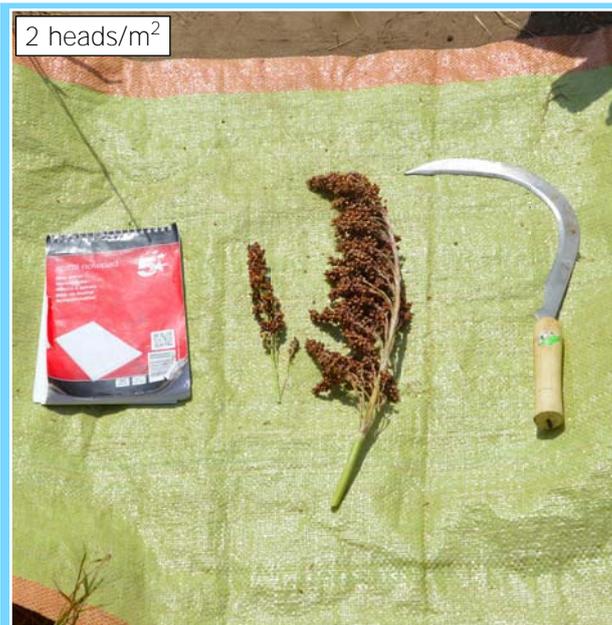
Grain from 1m<sup>2</sup>

Yield



1.00 t/ha

420 kg/f



0.50 t/ha

210 kg/f



0.20 t/ha

84 kg/f

# Maize

## From-a-distance

## Close-up

- Landrace  
*Longi 5*
- Thick, tall stand
- 3 plants/m<sup>2</sup>
- 3 cobs/m<sup>2</sup>
- Well developed plants
- Well developed cobs
- Some weeds



- Local seed
- Tall, healthy crop
- Dense stand
- 8-12 plants/m<sup>2</sup>
- 5 cobs/m<sup>2</sup>
- Variable cob size - some large
- Few weeds



- Local seed
- Short maturing
- Even stand
- 7 plants/m<sup>2</sup>
- 1-2 cobs/plant
- Most cobs well formed



# Maize

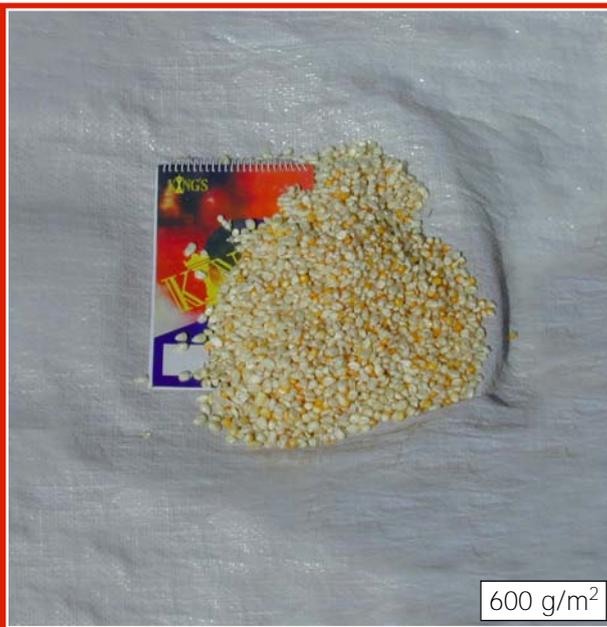
Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



7.05 t/ha  
2961 kg/f



6.00 t/ha  
2520 kg/f



5.00 t/ha  
2100 kg/f

# Maize

From-a-distance

Close-up

- Local landrace
- Well-grown crop
- Dense stand
- 6 plants/m<sup>2</sup>
- Approx. 1 cob plant
- More than half the cobs are a good size
- One weeding only
- No diseases
- No bird damage



- Local seed
- Healthy crop
- Variable stand
- 6-8 plants/m<sup>2</sup>
- 3 cobs/m<sup>2</sup>
- Not all plants have cobs
- Variable size cobs
- Some weeds



- Local landrace
- Variable stand
- 3-4 plants/m<sup>2</sup>
- Approx. 1 cob plant
- Variable size cobs
- No diseases
- No bird damage
- One weeding only



# Maize

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



4.20 t/ha  
1764 kg/f



3.00 t/ha  
1260 kg/f



2.30 t/ha  
966 kg/f

# Maize

From-a-distance

Close-up

- Local landrace
- Variable stand, in rows
- Poor plant development
- 5 plants/m<sup>2</sup>
- 5 cobs/m<sup>2</sup>
- Poorly formed cobs
- Cobs uneven in size
- Weedy crop



- Local maize
- Low plant density
- Poor germination and plant development
- 4 plants/m<sup>2</sup>
- 2 cobs/m<sup>2</sup>
- Some plants have no cobs
- Poorly formed cobs
- Weedy



- Local maize
- Uneven stand
- Very low plant density
- Very poor plant development
- 1 plants/m<sup>2</sup>
- 1 cob/plant
- Small cobs
- Weedy

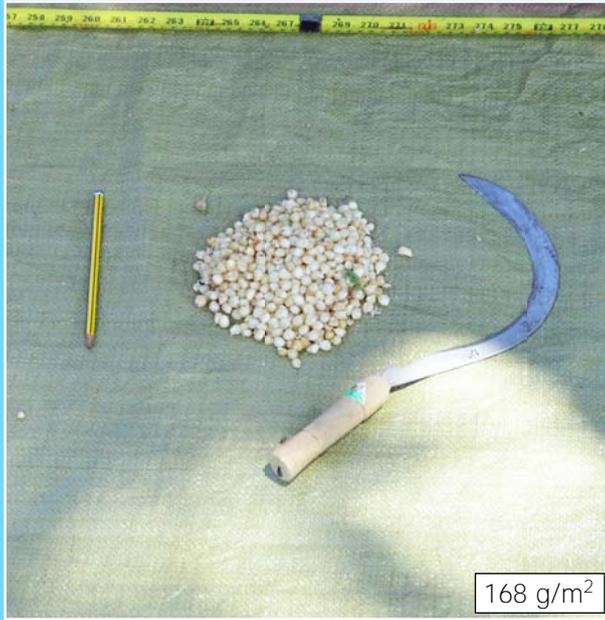


# Maize

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



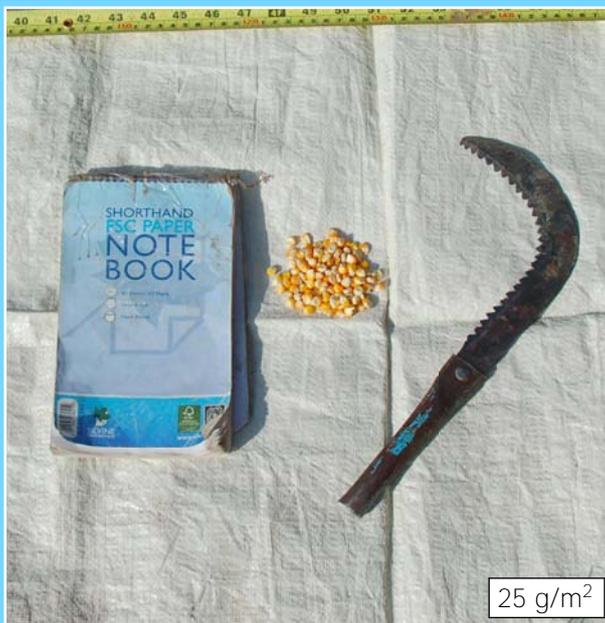
1.68 t/ha

706 kg/f



0.60 t/ha

252 kg/f



0.25 t/ha

105 kg/f

# Pearl Millet

## From-a-distance

## Close-up

- Local landrace
- Well-grown crop. Very dense
- 15 plants/m<sup>2</sup>
- 13 heads/m<sup>2</sup>
- All heads long and well formed
- No disease
- No bird damage
- Well weeded



15 plants/m<sup>2</sup>

- Local landrace
- Dispersed sowing rate
- 5 plants/m<sup>2</sup>
- 48 heads/m<sup>2</sup>
- 9-10 heads/plant
- Healthy. Fairly evenly sized heads
- Good seed formation
- Some weeds



5 plants/m<sup>2</sup>

- Local landrace - own seeds
- 4 plants/m<sup>2</sup>
- 34 heads/m<sup>2</sup>
- 8-9 tillers/plant
- Weeded once
- Harvest dry



4 plants/m<sup>2</sup>

# Pearl Millet

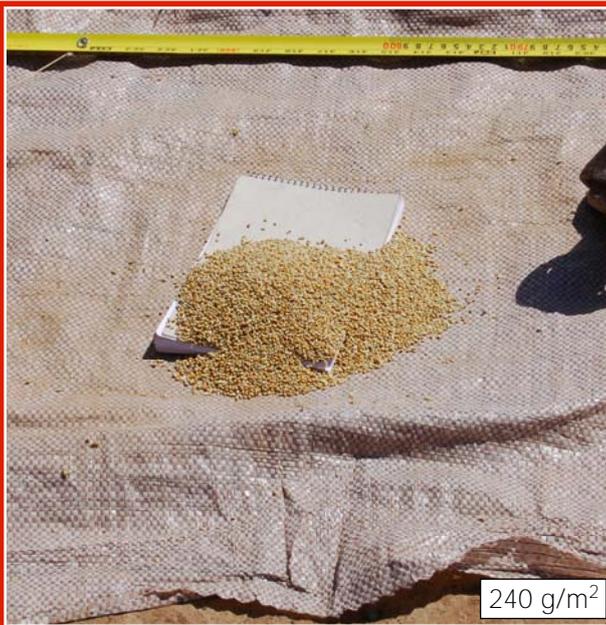
Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



2.80 t/ha  
1176 kg/f



2.40 t/ha  
1008 kg/f



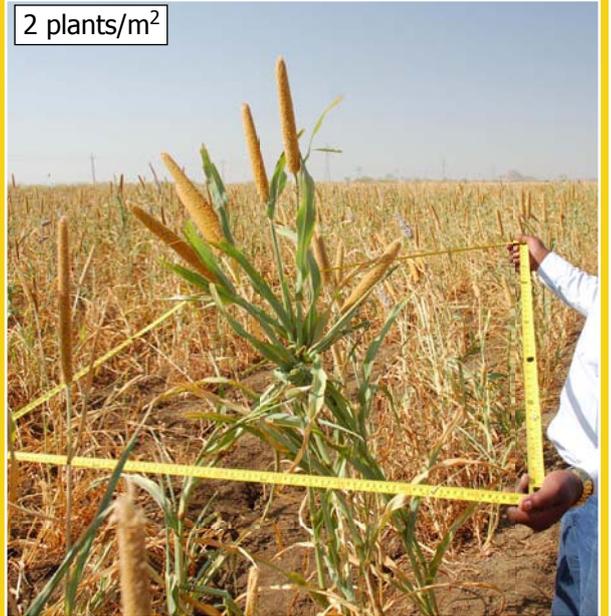
2.00 t/ha  
840 kg/f

# Pearl Millet

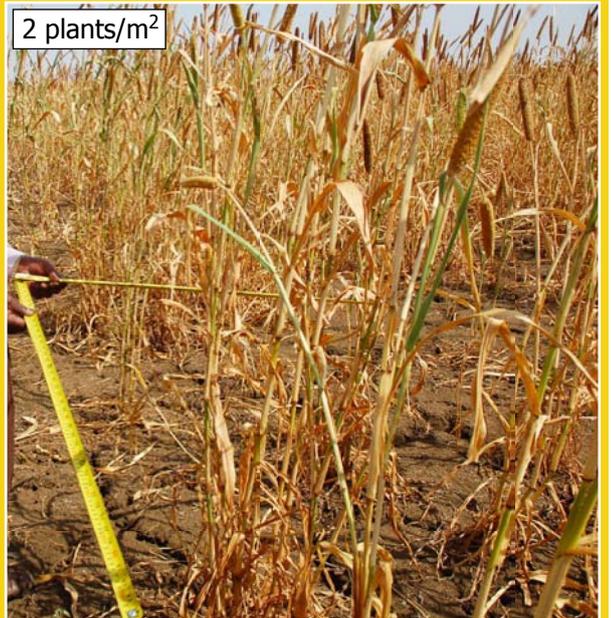
From-a-distance

Close-up

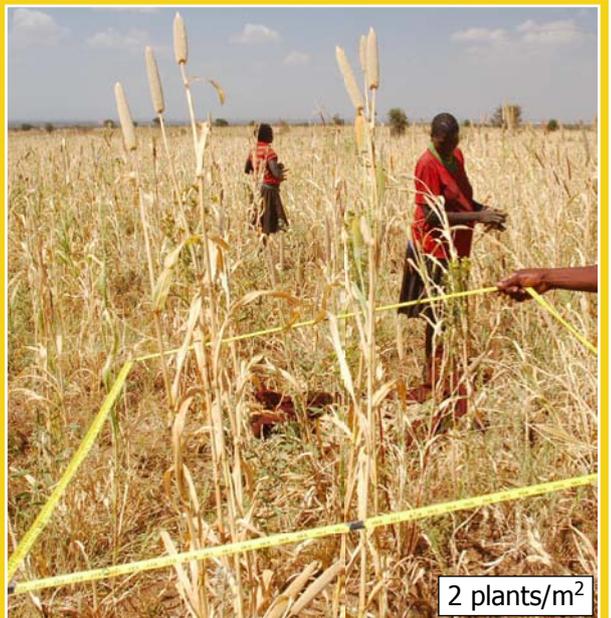
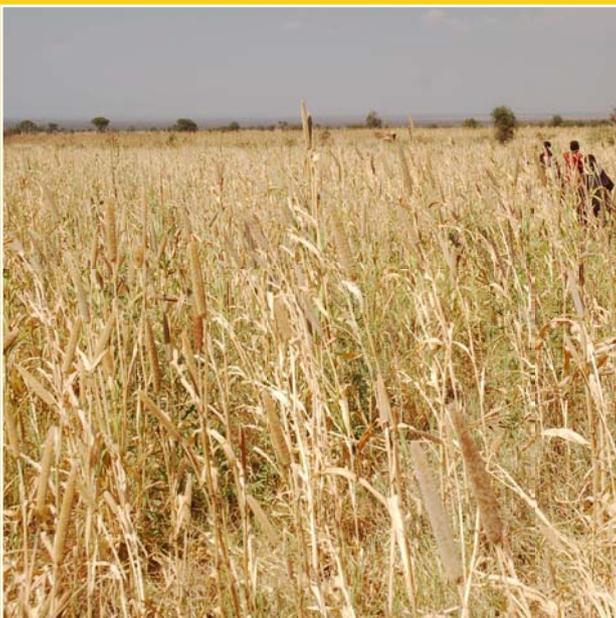
- Local landrace
- Even and closely spaced clumps
- 2 plants/m<sup>2</sup>
- 5 heads/m<sup>2</sup>
- Long heads
- Good seed formation
- Weed free



- Local landrace
- Even rows of plants
- 2 plants/m<sup>2</sup>
- 12 heads/m<sup>2</sup>
- 6 tillers/plant



- Local landrace
- 2 plants/m<sup>2</sup>
- 9 heads/m<sup>2</sup>
- 4-5 tillers/plant



# Pearl Millet

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



1.55 t/ha

651 kg/f



1.25 t/ha

525 kg/f



1.05 t/ha

441 kg/f

# Pearl Millet

From-a-distance

Close-up

- Local landrace
- Even rows of plants
- 2 plants/m<sup>2</sup>
- 15 heads/m<sup>2</sup>
- Small heads
- 7-8 tillers
- Good seed set
- Few weeds



- Local landrace
- Thin, weak plants
- 3 plants/m<sup>2</sup>
- 10 heads/m<sup>2</sup>
- Small heads
- Lodging



- Local landrace
- Very sparse crop
- Very low plant density
- 3 plants/m<sup>2</sup>
- 3 heads/m<sup>2</sup>
- Small heads
- Few tillers
- Poor seed set



# Pearl Millet

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

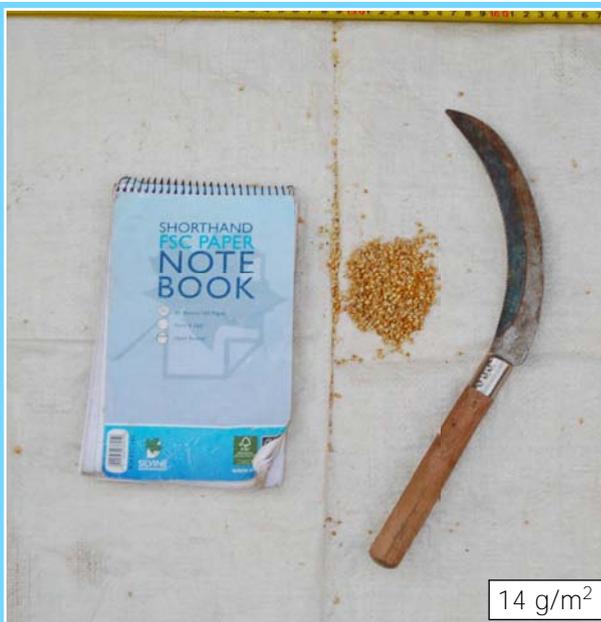
Yield



0.85 t/ha  
357 kg/f



0.59 t/ha  
248 kg/f



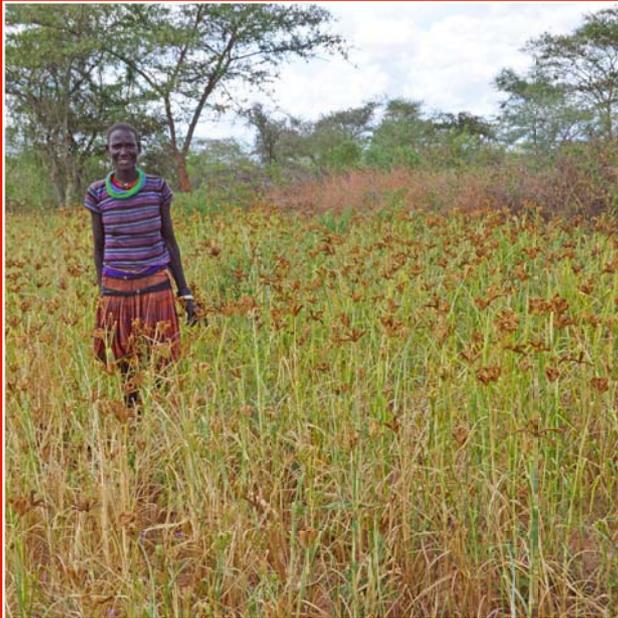
0.14 t/ha  
59 kg/f

# Finger Millet

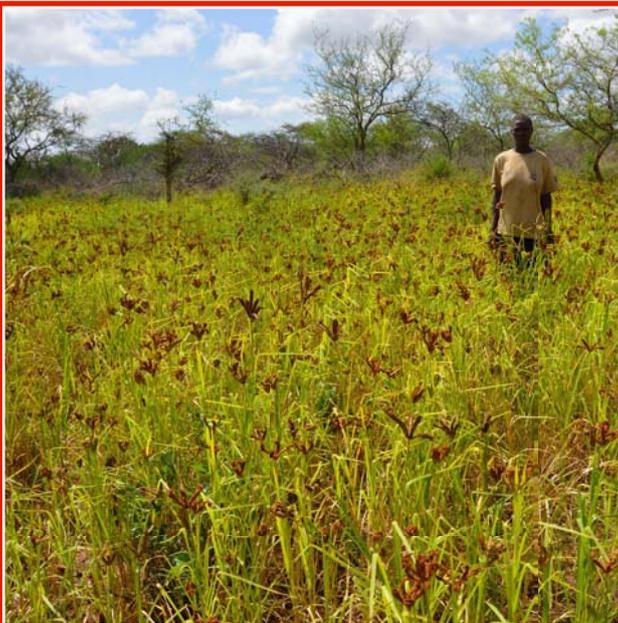
## From-a-distance

## Close-up

- Local landrace - own seeds
- 13 well spaced plants/m<sup>2</sup>
- 60 heads - many tillers
- Well weeded field
- Grain dry to bite - no drying done
- Harvesting nearly over



- Local landrace - own seeds
- 31 plants/m<sup>2</sup>
- 84 heads
- Approx 2-3 tillers/plant
- No weeding needed
- 2 tiers of heads
- High density of plants - grain wet, needed drying
- Harvesting just starting - dry heads only



- Local landrace - own seeds
- 12 well spaced plants/m<sup>2</sup>
- 54 heads
- Approx 4-5 tillers/plant
- Well weeded field
- Grain nearly dry to bite
- Harvesting underway



# Finger Millet

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

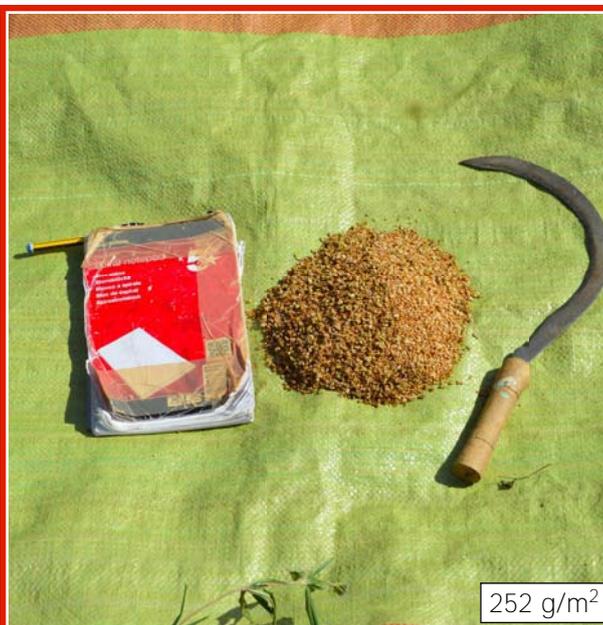
Yield



3.30 t/ha  
1386 kg/f



2.99 t/ha  
1256 kg/f



2.52 t/ha  
1058 kg/f

# Finger Millet

## From-a-distance

## Close-up

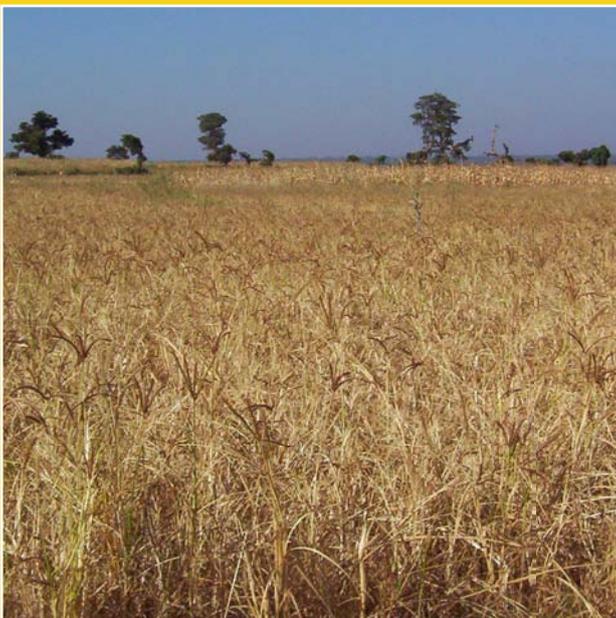
- Local landrace - own seeds
- 16 well spaced plants/m<sup>2</sup>
- 70 heads
- Approx 4 tillers/plant
- Well weeded
- Grain nearly ready
- Harvesting started - all heads



- Local landrace - own seeds
- Dense sowing
- 44 plants/m<sup>2</sup> (very dense)
- 92 heads
- Approx 2 tillers/plant
- Well weeded field
- Grain required drying
- Harvesting started by trimming dry heads



- Local landrace own seeds
- Good plant cover
- Even crop
- 32 plants/m<sup>2</sup>
- 75 heads
- Approx 2-3 tillers/plant
- Few weeds
- Heads well formed



# Finger Millet

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



2.15 t/ha

903 kg/f



1.51 t/ha

634 kg/f



1.20 t/ha

504 kg/f

# Finger Millet

From-a-distance

Close-up

- Local landrace - own seeds
- 18 plants/m<sup>2</sup>
- 37 heads
- Approx 2 tillers/plant
- No weeds
- Grain required drying, nearly dry to bite
- Harvesting started by trimming dry heads



- Local landrace - own seeds
- Uneven establishment
- 29 plants/m<sup>2</sup>
- 55 heads
- Approx 2 tillers/plant
- Mixed heads
- Some grass weeds



- Local landrace - own seeds
- Forest crop (stored as standing crop)
- Poor establishment
- 20 plants/m<sup>2</sup>
- 49 heads - poorly filled
- Approx 2 tillers/plant. Few tillers with heads.
- Almost no seed set



# Finger Millet

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



1.11 t/ha

466 kg/f



0.50 t/ha

210 kg/f



0.25 t/ha

105 kg/f

# Rice

## From-a-distance

## Close-up

- Landrace *Kaiso*
- Seeds from local market
- Dense, even crop
- Well formed heads
- Many tillers



- Landrace *Kaiso*
- Mbale seed
- Tall, well developed plants
- Well formed heads
- Variable tillers



- *Uganda Nerica* type
- Good vegetational growth
- Good, even crop
- Good seed set
- Long heads
- Weed free

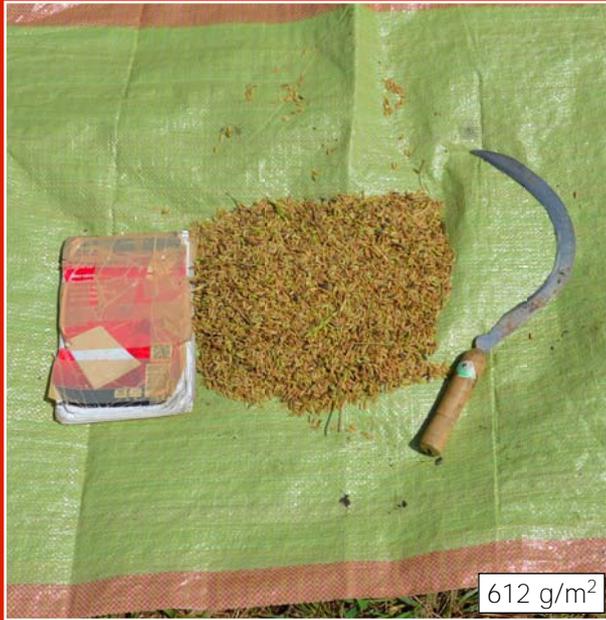


# Rice

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

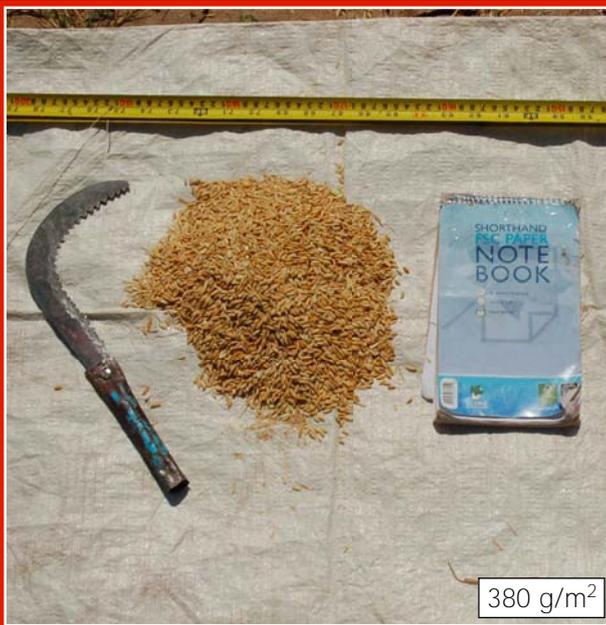
Yield



6.12 t/ha  
2570 kg/f



4.75 t/ha  
1995 kg/f



3.80 t/ha  
1596 kg/f

# Rice

From-a-distance

Close-up

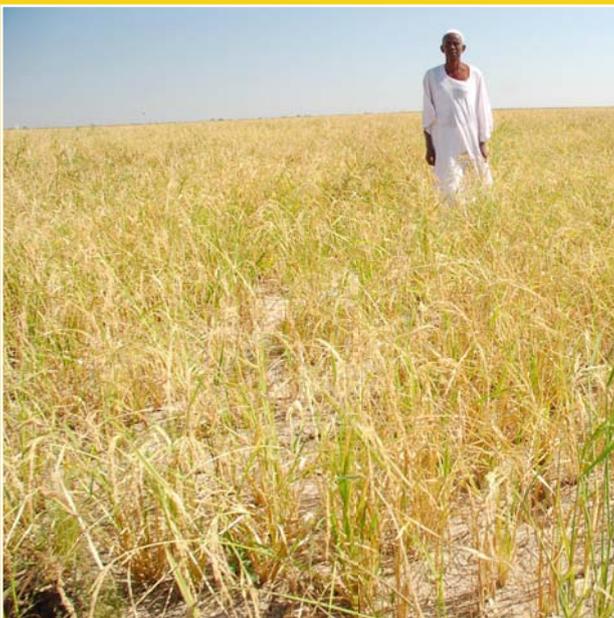
- Local landrace
- Well-grown crop
- Dense, even stand
- Many tillers
- Heads well formed
- Few weeds
- No disease
- No bird damage



- Landrace *Kaiso*
- Variable stand
- Weedy



- *Uganda Nerica* type
- Sparse crop
- Poor vegetational growth
- Poor seed set
- Weed free



# Rice

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



3.00 t/ha  
1260 kg/f



2.20 t/ha  
924 kg/f



1.45 t/ha  
609 kg/f

# Rice

## From-a-distance

## Close-up

- Uganda *Nerica* type
- Very sparse crop
- Few tillers
- Poorly formed heads
- Poor vegetational growth
- Weed free



- Landrace *Kaiso*
- Low density
- Poor plant establishment
- Poor seed set



- Landrace *Kaiso*
- Poor growth and establishment
- Few plants
- Few tillers
- Almost no seed set
- Some plants may be diseased
- Some plants may be bird damaged
- Grass weeds



# Rice

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



0.90 t/ha  
378 kg/f



0.50 t/ha  
210 kg/f



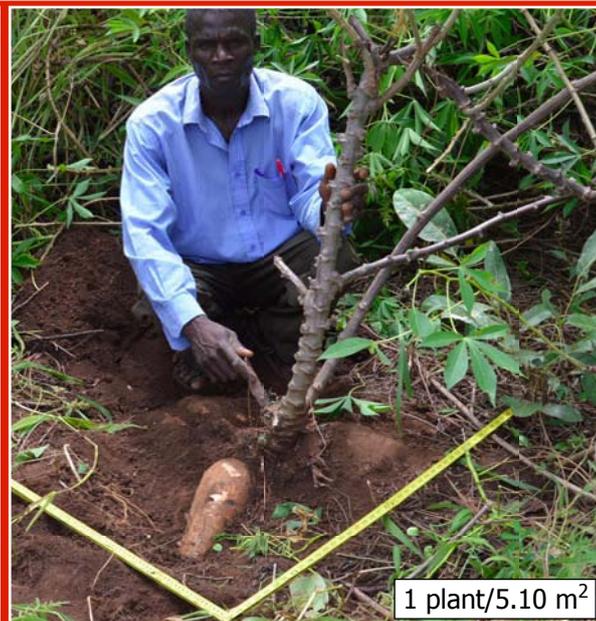
0.10 t/ha  
42 kg/f

# Cassava

## From-a-distance

## Close-up

- Variety *Migyera* (NASE 3)
- Type - bitter approx. 2 year; actual age 29 months, harvesting now
- Point-to-Plant 5 plant circle radius is 2.85 m Area/plant = 5.10 m<sup>2</sup>
- 1959 plants/ha
- 21.19 kg tuber per plant - fresh weight



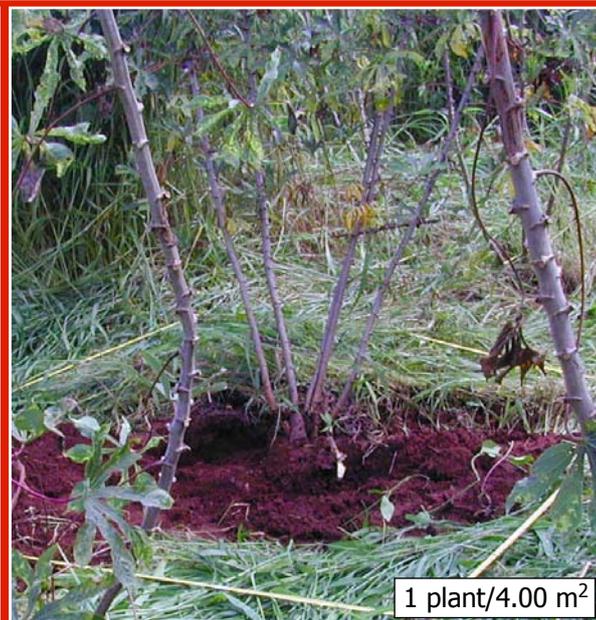
1 plant/5.10 m<sup>2</sup>

- Variety *Migyera* (NASE 3)
- Type - bitter approx. 2 year; actual age 27 months, harvesting now
- Point-to-Plant 5 plant circle radius is 2.40 m Area/plant = 3.62 m<sup>2</sup>
- 2763 plants/ha
- 13.60 kg tuber per plant - fresh weight



1 plant/3.62 m<sup>2</sup>

- Local landrace
- Third-year harvesting
- Point-to-Plant 5 plant circle radius is 2.52 m Area/plant = 4.00 m<sup>2</sup>
- 2500 plants/ha
- Strong stems and leaves
- 12.00 kg tuber per plant - fresh weight
- Many weeds
- Disease free



1 plant/4.00 m<sup>2</sup>

# Cassava

## Product of a single plant

## Yield of a single plant

## Yield



Fresh weight  
4.15 kg/m<sup>2</sup>

41.52 t/ha  
fresh

17.44 t/f  
fresh



Fresh weight  
3.76 kg/m<sup>2</sup>

37.60 t/ha  
fresh

15.79 t/f  
fresh



Fresh weight  
3.00 kg/m<sup>2</sup>

30.00 t/ha  
fresh

12.60 t/f  
fresh

# Cassava

## From-a-distance

## Close-up

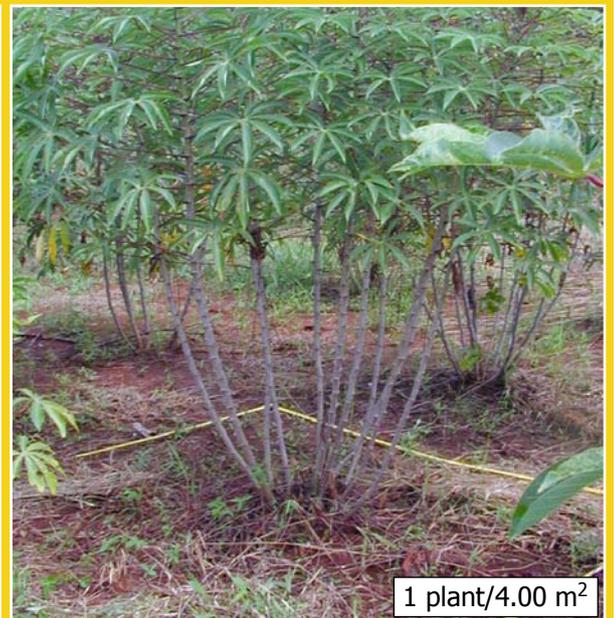
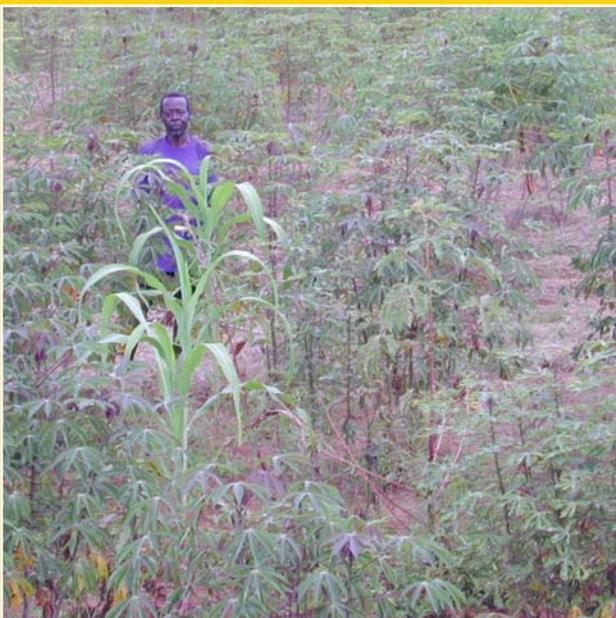
- Variety *Morulea* (TME 14)
- Type - sweet but approx. 2 year; actual age 17 months, harvesting now
- Point-to-Plant 5 plant circle radius is 2 m
- Area/plant = 2.51 m<sup>2</sup>
- 3978 plants/ha
- 6.32 kg tuber per plant - fresh weight



- Variety *Morulea* (TME 14)
- Type - bitter approx. 2 year; actual age 26 months, harvesting now
- Point-to-Plant 5 plant circle radius is 2.17 m
- Area/plant = 2.96 m<sup>2</sup>
- 3379 plants/ha
- 5.46 kg tuber per plant - fresh weight



- Local landrace
- Second year harvesting
- Point-to-Plant 5 plant circle radius is 2.52 m
- Area/plant = 4.00 m<sup>2</sup>
- 6 kg tuber per plant - fresh weight
- Strong stems and leaves - open canopy
- 2500 plants/ha
- Well formed, thin tubers



# Cassava

## Product of single plant

## Yield of single plant

## Yield



Fresh weight  
2.51 kg/m<sup>2</sup>

25.14 t/ha  
fresh

10.55 t/f  
fresh



Fresh weight  
1.84 kg/m<sup>2</sup>

18.45 t/ha  
fresh

7.75 t/f  
fresh



Fresh weight  
1.50 kg/m<sup>2</sup>

15.00 t/ha  
fresh

6.30 t/f  
fresh

# Cassava

## From-a-distance

## Close-up

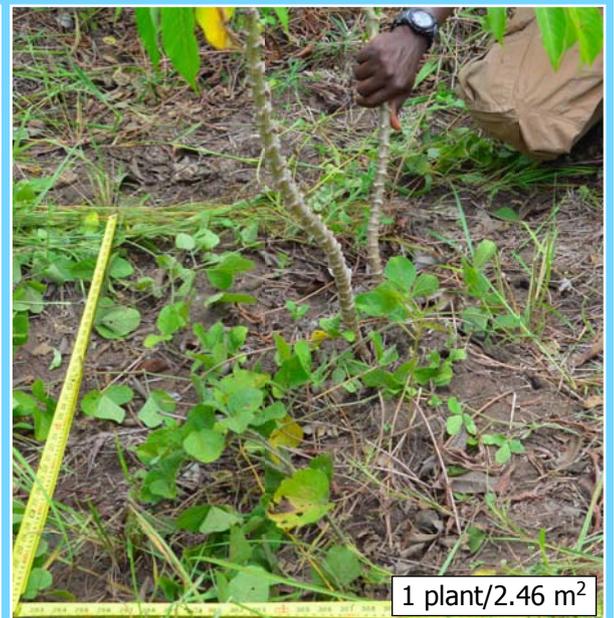
- Variety *Morulea* (TME 14)
- Type - sweet approx. 2 year; actual age 26 months, harvesting now
- Point-to-Plant 5 plant circle radius is 2.25 m
- Area/plant = 3.18 m<sup>2</sup>
- 3143 plants/ha
- 2.80 kg tuber per plant - fresh weight



- Local landrace
- Second year harvesting
- Point-to-Plant 5 plant circle radius is 1.26 m
- Area/plant = 1 m<sup>2</sup>
- 0.50 kg tuber per plant - fresh weight
- Poor growth - weak stems and very few leaves
- Mosaic damage



- Variety *Migyera* (NASE 3)
- Type - bitter aprox. 2 year; actual age 28 months, harvesting now
- Point-to-Plant 5 plant circle radius is 1.98 m
- Area/plant = 2.46 m<sup>2</sup>
- 4061 plants/ha
- 0.80 kg tuber per plant - fresh weight



# Cassava

## Product of single plant

## Yield from single plant

## Yield



Fresh weight  
0.88 kg/m<sup>2</sup>

8.80 t/ha  
fresh

3.70 t/f  
fresh



Fresh weight  
0.50 kg/m<sup>2</sup>

5.00 t/ha  
fresh

2.10 t/f  
fresh



Fresh weight  
0.32 kg/m<sup>2</sup>

3.25 t/ha  
fresh

1.37 t/f  
fresh

# Groundnut

## From-a-distance

## Close-up

- Erect local variety
- Variable stand
- Approx. 14 plants/m<sup>2</sup>
- Weedy



14 plants/m<sup>2</sup>

- Erect local variety
- Even stand
- Approx. 15 plants/m<sup>2</sup>
- Well weeded
- Disease free
- 1.75:1 pod:stem/plant ratio



15 plants/m<sup>2</sup>

- Erect local variety
- Variable stand
- Approx. 13 plants/m<sup>2</sup>
- Well weeded
- Disease free
- 1.5:1 pod:stem/plant ratio



13 plants/m<sup>2</sup>

# Groundnut

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



Freshly dug weight  
(unshelled)  
355 g/m<sup>2</sup>

3.55 t/ha

1491 kg/f



Freshly dug weight  
(unshelled)  
300 g/m<sup>2</sup>

3.00 t/ha

1260 kg/f



Freshly dug weight  
(unshelled)  
260 g/m<sup>2</sup>

2.60 t/ha

1092 kg/f

# Groundnut

## From-a-distance

## Close-up

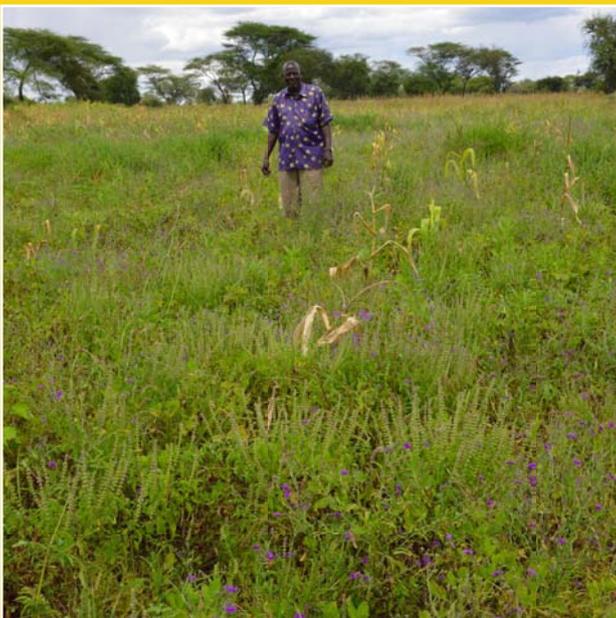
- Erect local variety
- Approx. 14 plants/m<sup>2</sup>
- Well weeded
- Disease free
- Variable stand
- 2.5:1 pod:stem /plant ratio



- Local landrace
- Good even growth
- Approx. 15-20 plants/m<sup>2</sup>
- Healthy well formed leaves
- No weeds
- No disease



- Erect local variety
- Approx. 10 plants/m<sup>2</sup>
- No weeding
- Weed infested
- Too much top growth
- 6:1 pod:stem /plant ratio



# Groundnut

Harvest from 1m<sup>2</sup>



Produce from 1m<sup>2</sup>

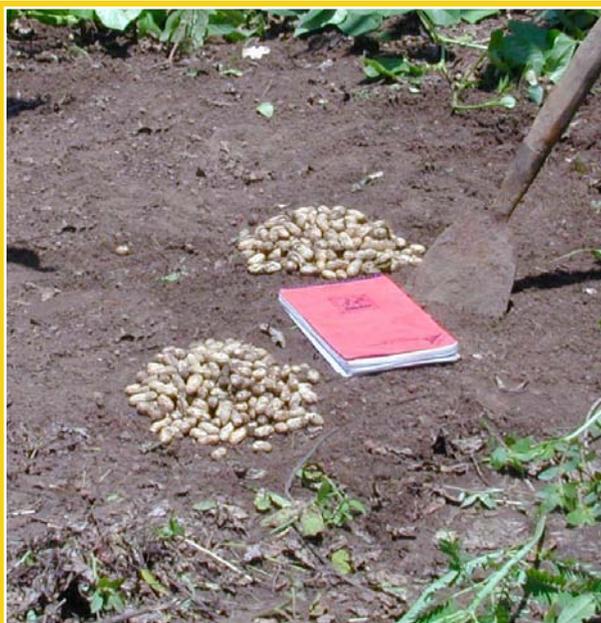


Yield

Freshly dug weight  
(unshelled)  
240 g/m<sup>2</sup>

2.40 t/ha

1008 kg/f



Freshly dug weight  
(unshelled)  
200 g/m<sup>2</sup>

2.00 t/ha

840 kg/f



Freshly dug weight  
(unshelled)  
150 g/m<sup>2</sup>

1.50 t/ha

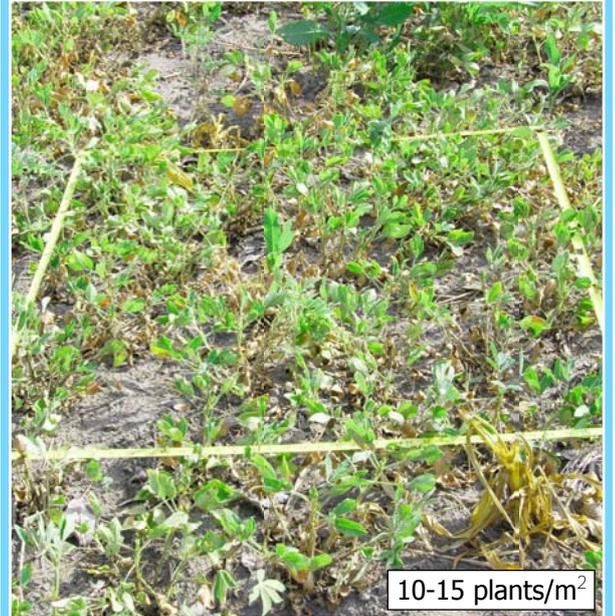
630 kg/f

# Groundnut

From-a-distance

Close-up

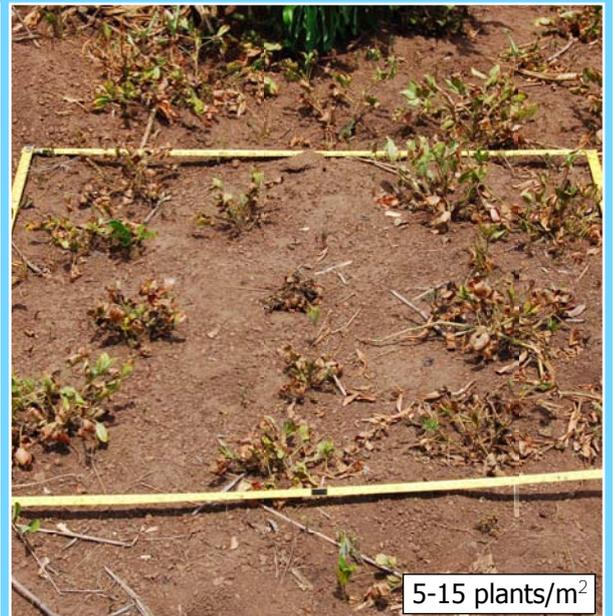
- Local landrace
- Variable establishment
- Approx. 10-15 plants/m<sup>2</sup>
- Uneven canopy
- Some weeds
- Moderate peg set - fewer large pods
- Diseased leaves (eg. some leaf spot)



- Local landrace
- Intercrop with maize
- Approx. 11 groundnut plants/m<sup>2</sup>
- Very low maize plant density
- Weedy
- Drier crop
- Poor peg development
- 5:1 pod:stem /plant ratio



- Local landrace
- Poor crop establishment
- Approx. 5-15 plants/m<sup>2</sup>
- Almost no pegs
- Small bunches
- Few small pods
- Diseased leaves (eg. leaf spot)



# Groundnut

Harvest from 1m<sup>2</sup>

Produce from 1m<sup>2</sup>

Yield



Freshly dug weight  
(unshelled)  
120 g/m<sup>2</sup>

1.20 t/ha

504 kg/f



Freshly dug weight  
(unshelled)  
60 g/m<sup>2</sup>

0.60 t/ha

252 kg/f



Freshly dug weight  
(unshelled)  
10 g/m<sup>2</sup>

0.10 t/ha

42 kg/f

# Sunflowers

From-a-distance

Close-up

- Local seed
- Even crop stand
- Tall, well developed plants
- Full seed set
- Large heads
- Few weeds



- Market seed
- Even crop stand
- Tall, well developed plants
- Variable seed set
- Large heads
- Few weeds



- Local seed
- Even crop development
- Medium and small sized heads
- Full seed development
- Weed free



# Sunflowers

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



2.85 t/ha  
1197 kg/f



2.66 t/ha  
1117 kg/f



2.60 t/ha  
1092 kg/f

# Sunflowers

From-a-distance

Close-up

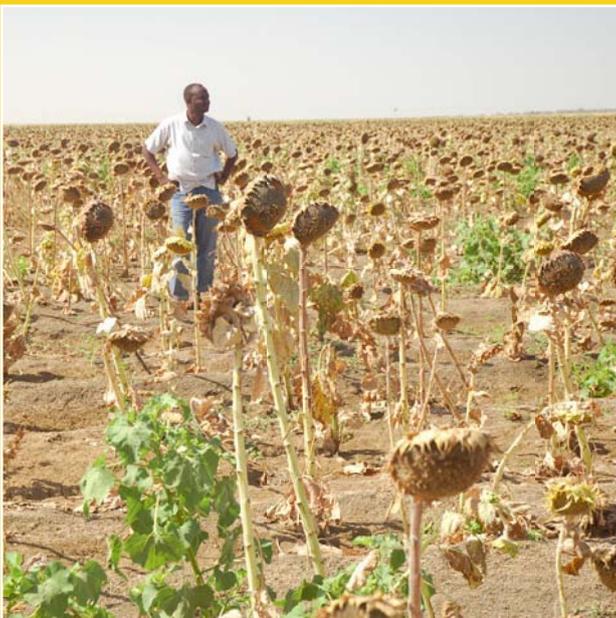
- Local seed
- Even crop stand
- Differing sized heads
- Few weeds
- Variable seed set



- Local seed
- Even crop development
- Medium and small sized heads
- Full seed set
- Weed free



- Local seed
- Low plant density
- Large plants
- Dense crop
- Full seed set
- Some weeds
- Some seeds lost to birds



# Sunflowers

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



2.10 t/ha

882 kg/f



2.00 t/ha

840 kg/f



1.80 t/ha

756 kg/f

# Sunflowers

From-a-distance

Close-up

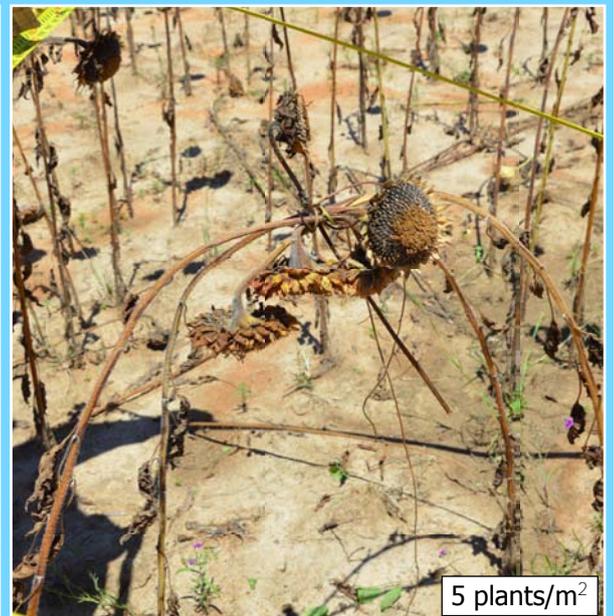
- Local seed
- Low plant density
- Medium sized heads
- Full seed set
- Some weeds
- Some seeds lost to birds



- Local seed
- Uneven crop development
- Medium and small plants
- Few weeds



- Local seed
- Uneven crop stand
- Poorly developed plants
- Small sized heads
- Few weeds
- Variable seed set



# Sunflowers

Harvest from 1m<sup>2</sup>

Grain from 1m<sup>2</sup>

Yield



1.25 t/ha

525 kg/f



0.85 t/ha

357 kg/f



0.42 t/ha

176 kg/f

# ANNEX 1

## Converting grams per m<sup>2</sup> to tonnes per hectare

**To convert the weight, in grams, of your grain sample harvested from one square metre (m<sup>2</sup>), to yield in kilograms per hectare, you only have to change the units and multiply by 10, as shown in the example below:-**

$$e. g. 175 \text{ g/m}^2 = 1\,750 \text{ kg/hectare}$$

**To convert the weight from kg per hectare to tonnes per hectare, change the units and divide by 1 000:-**

$$e. g. 1\,750 \text{ kg/hectare} = 1.75 \text{ t/ha}$$

**To complete both steps at the same time, change the units and divide by 100:-**

$$e. g. 175 \text{ g/m}^2 = 1.75 \text{ t/ha}$$

### Calculations explained:

- To scale up from one square metre to one hectare, multiply by 10 000 (10 000 m<sup>2</sup> = 1 hectare)
- To convert your sample weight from grams to kilograms divide by 1 000 (1 000 g = 1 kg)
- To convert your sample weight from kilograms to tonnes divide by 1 000 (1 000 kg = 1 tonne)

**Example:** If the grain harvested from one square metre weighs 250 g, then to scale up to t/ha use the equations below.

$$250 \text{ g} \times 10\,000 \text{ (change 1 m}^2 \text{ to hectares)} = 2\,500\,000 \text{ g/hectare} = 2\,500 \text{ kg/ha} = 2.5 \text{ t/ha}$$

The area of land which is more familiar to local farmers and administrators in South Sudan is a feddan comprising 4 200 square metres (= 0.42 ha).

Consequently, there are  $10\,000/4\,200 = 2.38$  feddan in a hectare.

Often, locally yields are quoted in bags/feddan. A conversion chart from t /ha and g/m<sup>2</sup> is shown in Annex 10.

# ANNEX 2

PET-Crops, South Sudan

An example of a self-checking sheet

**Region:**

**Assessor's Name:**

**Date:**

Crop name/Location/ Farmer/ Sample number/ GPS coordinate	PET score allocated before weighing by assessor	Measured yield g/m <sup>2</sup>				Comments
		Wf	W1	W2	W3 (in this case W3= Wc, a constant weight which may be achieved at W1, W2, W3 etc...)	
Late Sorghum, Farmer Micheal, Sample 1, 03 41N, 34 09E	Top yellow	235	225	220	220	Actual: Bottom red

# ANNEX 3

## Some definitions of terms used in PET manuals

### What does **estimate** mean?

An estimate is an approximate or rough calculation. Good estimates, based on a sound knowledge of a situation, will help you reach a good decision within the resources that are available. **For example, if you are asked how much crop is harvested from a farmer's field, you could measure the whole crop to get a true value of yield or you could estimate the yield by eye with the help of PET-Crops.** In most situations, it is not practical to measure the harvested crop and so a good estimate is important.

### What is a **crop assessment**?

When you estimate the amount of crop in a village, a district or a region at harvest time, you are doing what is called a crop assessment. A crop assessment involves a collection of on-farm estimates of each crop provided by each farmer after harvest; or an assessment made by multiplying the weight of samples of crop yield taken per unit area by the number of units in your area of interest.

### What is **crop yield (or yield per unit area)**?

The yield of a crop is the weight of that part of the crop that can be eaten, or used in any way, and is harvested by the farmer (for example, maize grain). The yield per unit area is the weight of the harvested parts produced from a known area of land (usually a hectare). **For example, you can say that the farmer's field produced 1 000 kilograms per hectare (1 000 kg/ha) or one tonne per hectare (1 t/ha).**

If you are measuring the weight of your crop from one square metre (1 m<sup>2</sup>) you would weigh your crop in grams (g). If you weigh your crop from one square metre, and it weighs 100 grams (100g), you can say your yield is 100 grams per square metre (100 g/m<sup>2</sup>). This may then be converted to a more usable/quotable yield in 1 000 kg or 1 tonne per ha.

**Remember:** One tonne (t) is the same as 1 000 kilograms (kg).  
One kilogram (kg) is the same as 1 000 grams (g).

### What is **plant density (or crop density)**?

By plant (or crop) density, we mean the number of plants in a known area of land. In PET-Crops plant density usually refers to the number of plants inside the square frame (or quadrat), whose sides measure one metre in length (that is, the number of plants per 1

m<sup>2</sup>). If you have a crop with many plants inside the square metre then the plant density is high. You can also say that it is a very dense crop. If you have few plants inside your square metre then the plant density is low. You can also say it is not a dense crop, or it is a thin crop. Some fields have mixed stands, where some areas may have a high density and others may have a low density. You will have to estimate the proportions of each high area and each low area to arrive at the average plant density of the field.

### **What is one square metre?**

One square metre is a measurement of area often used as a standard sample area for counting plants or weighing the harvest. Using the PET approach, you will be working with a square frame (a quadrat) and each of the four sides will be one metre. The area inside your square frame is one square metre (1 m x 1 m = 1 m<sup>2</sup>).

If you have an area which you can cover with the equivalent of 4 quadrats, your land will measure four square metres (4 m<sup>2</sup>). This will be equivalent to a square with sides 2 m long (2 m x 2 m = 4 m<sup>2</sup>).

If you have a bigger area which you can cover with 100 quadrats, then your field is 100 square metres (100 m<sup>2</sup> = 10 m x 10 m).

### **What is one acre and one feddan?**

A feddan is a unit of land area measurement equivalent to 4 200 square metres, often expressed as 60 m x 70 m. Yields of crops are usually quoted by local agriculturalists and farmers in tonnes per feddan.

An acre is a unit of land area measurement equivalent to 4 840 square yards (Imperial scale) or 4 046 square metres (metric scale).

### **What is one hectare?**

One hectare (ha) is a measurement of area 10 000 times larger than 1 m<sup>2</sup>. It is equivalent to an area 100 m x 100 m (10 000 m<sup>2</sup>). It is the most used international measure of land area; and used most often for the value of crop yields.

One hectare is 2.38 feddan and 2.47 acres.

### **What is a tonne per hectare?**

A tonne per hectare is a measure of the weight of crop harvested from an area of one hectare. If you have one tonne per hectare (1 t/ha) it means that a field which measures one hectare produced 1 tonne or 1 000 kilograms of crop. If a field which measures one hectare produced 2 000 kilograms, you would say that the crop quantity was two tonnes per hectare (2 t/ha).

When you weigh your crop from one square metre, you will obtain the yield in grams per square metre ( $\text{g/m}^2$ ). Normally you do not give the yield from a field in  $\text{g/m}^2$ , because the area is so small, instead you would convert your measures to tonnes per hectare ( $\text{t/ha}$ ). NB: Annex 1 explains how to do this.

### **What does **cross-check** mean?**

When you cross-check your data, it means that you compare your estimate of crop yield with a measured value of crop yield to make sure that your estimates are good and accurate.

### **What is a **quadrat**?**

A quadrat is a square frame, which can be made of most materials such as wood, plastic or wire. A quadrat is used to mark an area of land from where you will take your crop cuttings or counts. The quadrat you will use will usually measure one metre (1 m) each side, and therefore the size of the land inside the quadrat will measure one square metre ( $1 \text{ m}^2$ ).

### **What is a **spring balance scale**?**

The spring balance is a simple weighing instrument, which you can see in the photograph in the PET manual. It is used to measure the weight of small quantities of crop. The scale on the PET spring balance is graduated in 5 g units up to 1 kg. To weigh the produce you harvest, you need to have something to hold the crop, in this case a clean plastic bag. The plastic bag has a weight, so in order to measure the weight of the crop you first need to set the spring balance to zero with the empty plastic bag attached. This is known as calibrating the spring balance, setting to zero, or *taring* the balance. Do not use a plastic bag for storing your grain. Use a cotton bag or a thick paper envelope, reinforced on the joins and corners.

### **What does **drying to a constant weight (Wc)** mean?**

When the sampled crop is not fully dry, you must dry it in the sun to obtain an estimate of the weight of the mature crop. It may take a long time to bring down the *water content* to the usual level at harvest time, which is usually lower than 15%, leaving dry matter (DM) greater than 85%. After drying for several hours, weigh the crop on the spring balance and dry again. You will know the harvested parts are fully dry when the measurement you take is the same as the one before. When two consecutive measurements are the same, the sample is said to be dried to a constant weight and is, for purposes of crop assessment, 'completely' dry. Speeding-up the natural process using a microwave oven needs care not to cook the sample. Therefore, if using a microwave oven:-

- Use only medium intensity in 2-minute bursts.
- Cool and weigh after each burst until the weight stays the same.
- Bite the grain. If soft, continue to dry in 2 minute bursts until the grain is too hard to bite, or it snaps when bitten.

# ANNEX 4

## Post-harvest yield assessment

**On some occasions, assessment teams arrive after the harvest is over. Rather than relying totally on the hearsay of the farmer regarding crop performance, assessors may wish to conduct: a) forensic assessment on the field; or b) estimate the crop in-store to obtain a rough estimate of production.**

### **a) Forensic Assessing**

This technique is applicable to stover crops such as maize, sorghum or millet crops, where the harvest is held temporarily in **un-threshed** heaps in the field. After verifying that there were only **one head or cob per stem**:

- i) Use the PET quadrat to count the number of stems in a square metre (as described earlier) and so to estimate the **mean number of heads or cobs per square metre**, as shown below.



- ii) Take a sub-sample of heads or cobs from a heap already harvested. To ensure that your sample is random, divide (by eye) the heap into four quarters and choose (by lottery) which quarter to sample. Next, divide this quarter into three equal sized segments and remove one of these entirely from the heap. Count the number of harvested parts (heads or cobs) it contains, then thresh and weigh the grain. By dividing the weight of threshed grain by the number of harvested parts included in the segment, you can find the **average weight of grain per head or cob**.

A heap of heads divided into 4 quarters



A quarter divided into 3 with sub-sample segment removed



- iii) Multiply the average weight of grain per head or cob from (ii) by the average number of heads or cobs per square metre (i) to get **grain weight per m<sup>2</sup>** (g/m<sup>2</sup>), which may then be converted to **yield per hectare or acre**, as shown in Annex 1.
- iv) If there were more than one cob or head per stem, adjust the weight accordingly.

**For example:**

A small maize field has been harvested. It looks quite uniform, so the assessor takes two sample quadrats to estimate the mean number of stems or cobs per m<sup>2</sup>. These samples indicate that there would have been 4 and 3 cobs per m<sup>2</sup> respectively.

Therefore, the estimated average number of cobs per m<sup>2</sup> is  $(4+3)/2 = 3.5$ .

Now the assessor studies the large heap of air dry cobs already harvested and divides this, by eye, into quarters. The farmer draws lottery ticket number 3, so this is the quarter of the heap that is sampled – an entire third of this quarter segment is removed and sampled. It consists of 16 cobs which are then carefully threshed and their grain weighed - the product weighs 1 660 grams.

Therefore, 16 cobs yield 1 660 grams of grain.

1 cob therefore yields  $1\ 660/16 = 104$  g of grain.

Going back to the original samples, the mean cob number per quadrat was 3.5 per m<sup>2</sup>.

Therefore, the average yield of grain per m<sup>2</sup> is estimated to be  $3.5 \times 104 = 364$  g/m<sup>2</sup> or 3.64 t/ha.

This most closely matches a yield in between the high and middle yellow *photo-indicators*.

## b) Estimating yield from threshed grain in-store

This technique may be used for any cereal crop where the whole field has been harvested and **threshed**, but not yet bagged.



- i) Measure the area of the field that has been harvested in square metres (*e.g.* for rectangular fields this is length x width).
- ii) Study the shape of the heap: A hemisphere is half of a sphere (a completely round circle shape). Hemispheroids are flatter than hemispheres so have different dimensions (radii) in different directions (an example of a hemispheroid heap is the photo of pearl millet shown above).

**Figure 4:** Hemispheres and hemispheroid shapes

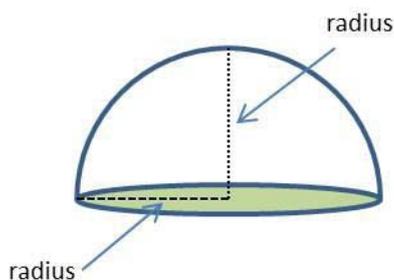


**Hemisphere**

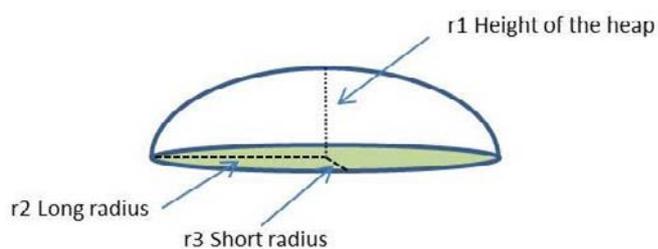


**Hemispheroid**

- iii) Next, measure the radius of the hemisphere shaped heap in metres; or the three radii of the hemispheroid heap.



**Radius of a hemisphere**



**Radii of a hemispheroid**

- iv) Calculate the volume of threshed grain in the heap in cubic metres using the most suitable formula:

For heaps which are a hemisphere in shape:

Volume =  $\frac{2}{3} \pi r^3 = \frac{2}{3} \pi \times r \times r \times r$  (where  $r$  = single radius of the sphere);

For heaps which are hemi-spheroid in shape:

Volume =  $\frac{2}{3} \pi \times r_1 \times r_2 \times r_3$  (where  $r_1 \times r_2 \times r_3$  = the three radii of the spheroid).

Convert this volume to litres by multiplying it by 1 000.

- v) Multiply the volume of grain in litres by the appropriate **bulk density value** (see Annex 9), which is the standard weight per unit volume for a specific type of grain, expressed in kg/litre (this can be checked by weighing a litre of grain from the heap using the PET balance). This provides the weight of grain (in kg) in the heap.
- vi) Divide the weight of grain in kg by the area of the field in square metres, to work out your estimated yield in kg/m<sup>2</sup>. This figure may then be converted to yield per hectare or acre, as shown in Annex 1.

**For example:**

A pearl millet field measuring 80 m x 108 m has been harvested by the time an assessor arrives. Its product has been threshed and is being stored in a large hemispheroid heap which measures 50 cm high x 4 m long x 3.2 m wide.

This means that the 3 radii of the heap in m are:  $0.5 \times 4/2 \times 3.2/2 = 0.5 \text{ m} \times 2 \text{ m} \times 1.6 \text{ m}$ .

The assessor works out the volume of the heap in m<sup>3</sup> using the formula:

Volume =  $\frac{2}{3} \pi \times r_1 \times r_2 \times r_3 = \frac{2}{3} \times 3.14 \times 0.5 \times 2 \times 1.6 =$  approximately 3.3 m<sup>3</sup>

He converts this to litres by multiplying this volume by 1 000 =  $3.3 \times 1\,000 = 3\,300$  litres.

The grain density value for millet (0.63 kg/litre) can now be used to estimate the weight of the heap of grain in kg:

$3\,300 \times 0.63 = 2\,079 \text{ kg}$

To estimate the yield of grain as tonnes/ha, this weight of grain is divided by the area of the field in m<sup>2</sup>. The area of the field is  $80 \text{ m} \times 108 \text{ m} = 8\,640 \text{ m}^2$ .

Therefore, the yield is  $2\,079 / 8\,640 = 0.24 \text{ kg/m}^2$

This is  $1\,000 \times 0.24 = 240 \text{ g/m}^2$  or 2.40 tonnes per hectare.

This matches the middle red *photo-indicator*.

# ANNEX 5

## Crop yield assessment using the PET approach

### 1.0 Preamble - General background to crop assessments

1.1 Any crop assessment aims to produce figures describing the harvest of the main staples of a specified location for a particular season. Production estimates are derived by multiplying area harvested by an estimated yield per unit area. Both parameters are measurable at harvest time when the assessments should occur.

1.2 To be convincing, the estimated levels of production recorded must be plausible. Plausibility is a very important consideration in acceptability, without which crop assessments become worthless exercises. Therefore, data presented should be rational, justifiable and defensible in debate; and, should come from a source as close as possible to the farming community.

1.3 In countries/states where active administrations exist, the best *entry-points* for any crop assessment mission are agricultural sector offices of administrative units that are a) in day-to-day contact with their farming community<sup>6</sup>; and b) may be visited throughout the country within *the time and budget* allocated to assessments. So, usually, the best *entry-points* are County Agriculture offices but may also be, depending on circumstances, irrigation schemes, area development projects, private estates or zones under temporary administrations. During the course of an assessment mission, *entry-points* will be visited in sequence to provide the background, quantitative information required to create the area per crop framework upon which the results are built.

1.4 With these points in mind, the *golden* rules regarding quantitative data collection are:

- Assessment mission teams must never leave an *entry-point* without the area<sup>7</sup> data for **the season's crops of interest**.
- If time is short and data are not compiled, teams should concentrate on the compilation of the data for the main staples rather than a plethora of areas of minor field crops and vegetables.
- Do not accept that data will be sent/faxed/e-mailed later. The road to incomplete files is paved with such broken promises.

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<sup>6</sup> The staff are in daily contact with farmers and are not office-bound.

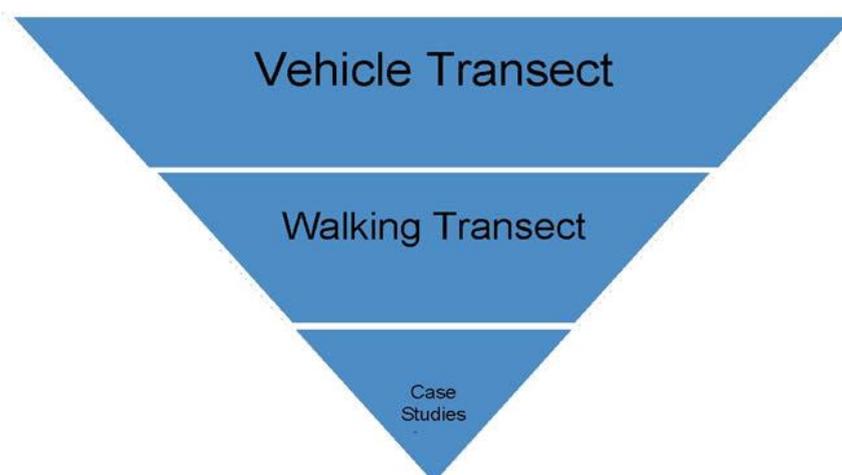
<sup>7</sup> Sometimes yield estimates will also be available. These yields will need auditing using PET as described in the manual.

## 2.0 PET- Crop yield assessing

2.1 The PET manual as presented above describes a rapid, objective way of estimating yield in every field you see in passing. The PET Approach below explains how to use the manual to best effect in transects, *i.e.* in journeys when the item of interest is noted and recorded regularly and in a similar manner according to standing operational procedures (SOPs).

2.2 The PET protocol to estimate crop performance, by identifying yields at harvest time, comprises the application of increasing intensities of observation, best described diagrammatically as an inverted triangle, as shown in Figure 5. The observations begin from the vehicle, are continued during transects walked slowly through the fields and, finally, are made within fields during discussions with farmers.

**Figure 5:** Observation Levels - decreasing in number; increasing in detail



2.3 During **vehicle transects**, two observers sit either side of a vehicle, travelling at a slow, steady speed. They identify the approximate yield of all fields seen on their respective sides of the road by using the **from-a-distance photo-indicators** (on the appropriate page in the PET manual for the crop in question) to fit the fields passed on the journey into the 4 broad clusters of yield noted earlier *viz.* **RED, YELLOW, BLUE and ZERO** (zero means a mature crop present but absolutely no production). Scores for every field seen are recorded on a **specific 'A4' transect sheet**. Each transect sheet represents **30 minutes of driving**, and consists of 30 rows (1 row per minute), with observations further sub-divided into 20 second **'cells'**. A typical vehicle transect recording sheet is shown below in Table 1 below.

2.4 The three colour categories are present to ease rapid approximation of yields during driving transects. The colour bands provide a *convenient initial grouping*. However, the range of yields should be regarded as contiguous - NOT as zero plus three discontinuous clusters, into one of which all crops must fall. They do NOT prevent assessments of levels between each band (*i.e.* red-yellow; yellow-blue; blue-zero) if necessary.

2.5 In some years it is possible, although unlikely, that there will be no crops in the red band. In other years, there may be no crops in the blue band, the lowest yields being found in the yellow band. When they occur, such instances should be recorded fully within the system described.

2.6 For every 20 second cell, all the **small fields seen** are classified as either **zero, blue, yellow** and **red** or in combinations as they occur. This information is noted on the A4 transect sheets, using symbols (**z, b, y** or **r**) entered in the appropriate row, under the corresponding crop headings. Large, industrial crops may be observed over a period as long as the 20 second unit in which case the symbols (**Z, B, Y** or **R**) are used to denote *full occupation* by the crop at the assigned level (s).

2.7 For small fields, each lower-case symbol represents a value score of 0.1 points (i.e.  $r = R/10$ ). As aggregations of peasant farms are always associated with significant areas of fallow, buildings, paths and clearings alongside cropped areas, only up to 5 x 0.1 points may be entered for a 20 second cell. Whereas, a large *industria*<sup>8</sup> field, covering the whole area observed during 20 seconds of travel scores 1 whole point.

2.8 For each 30 minute transect during every journey two yield estimates, one from each side of the vehicle (para 2.3) should be obtained by the assessing team. These data are initially combined to give an estimate for the transect. Transect values are eventually combined to calculate average yield for each crop in observed throughout each district.

2.9 At the end of the 30-minute transect, the scores represented by each symbol for each crop are added-up to provide an estimate of the frequency of appearance of each score. These individual totals are multiplied by a **yield factor** (expressed in tonnes/hectare), which is specific to each colour band level for each crop for each transect, or group of transects, enabling **weighted average yields** of each crop to be calculated.

2.10 **Yield factors** are determined when observers leave the vehicle, at predefined regular intervals<sup>9</sup>, and switch to **walking transects**, an action which enables fields to be observed more closely. Using the **close-up photo-indicators** from the PET manual, crops are categorised and recorded at **low, medium or high** levels **within the three colour bands**; or at levels **between, below or above** the colour category already determined during the driving transect; or as **zero**.

- The appropriate **yield factor** for the selected colour category is read off (or, for levels falling between categories, is calculated) in tonnes per hectare.
- A typical walking transect sheet is given in Table 2 below.

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<sup>8</sup> These are large fields of PET crops that are being farmed by commercial enterprises.

<sup>9</sup> Or on arrival at an area of specific interest as determined by the results of the initial observations.

2.11 The sequence of actions noted in Figure 5 is completed by conducting detailed case-studies of a small number of available farmers involving:

- Semi-structured interviews in his/her field using a common pre-tested/proven checklist and;
- Occasionally, taking representative samples of the field then threshing, winnowing, weighing and, if necessary, drying the product to cross-check use<sup>10</sup> of the *photo-indicators* (see **Steps 5 and 6**, starting on page 19).

2.12 At the end of each day, each team summarises the returns for the vehicular and walking transects and calculates **weighted averages** for each crop, using the appropriate yield factors. Finally, the day's data are cross-referenced **with the previous day's data** to check for inconsistencies<sup>11</sup>.

2.13 In the PET Methodology, emphasis is placed on the facts that:

- PET-Crops manuals are tools for rapid assessment, applying optimal levels of accuracy (OLA).
- As such, speed of application must be matched by speed of analysis and speed of reporting or the value is lost.
- The PET manual provides the formula to be applied to assess yields, but it is the rigour, consistency and stamina of the teams using the manual that make the approach successful.
- PET provides estimates of yields per feddan or per hectare at harvest time; *it does not provide forecasts for immature crops*, therefore the timing of assessment missions applying PET needs careful planning.
- As well as cross-checking by sampling and against previous days' data (described above), PET yield data should also be cross-checked against a) estimates from previous surveys; b) direct data from well-positioned and involved key informants including regional/district extension workers, elders, tractor drivers, tractor owner/managers, threshing-machine operators and grain traders and combine drivers.
- Combining the yield estimates from PET with the best-fit area estimates provides a rapid and direct estimate of production with which to identify food-security, strategy options at an early stage in the marketing year in prospect. In the example transect recording sheet below, you will note that the columns on the left refer to the minute the records are noted, followed by three columns of 20 second cells, where 1 has been entered in each. These are the full "*industrial*" scores for the general vegetation of the area which may be bush, forest, savannah, swamp or in some cases, widespread *industrial* fallow. These matrix or background scores may be considered

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<sup>10</sup> The assessor should adjust their choice of *photo-indicator* to correct their bias if necessary.

<sup>11</sup> Other tasks that should be completed at the end of each day include summarising the semi-structured interviews, and filing data retrieved from entry point sources.

as the natural position. The crop scores are subtracted from the background scores during the process of estimating the weighted average of each transect that are then summarised as district or regional averages. The ratios of cropped area to natural vegetation is a valuable cross check on area estimates given by administrators/ projects or estimated from population statistics.





# ANNEX 6

## Preparing PET-Crops, South Sudan

The methods used to prepare PET-Crops, South Sudan are summarised below:

**1. Preliminary discussions** with specialists identified the crops to be included as sorghum (early maturing; late maturing); maize; finger millet; pearl millet; rice; groundnuts; sunflowers; and cassava.

**2. Reconnaissance surveys** over a period of years identified both the scope and range of performance of all crops at all locations within and neighbouring South Sudan.

**3. Stratified sampling**, to cover the range identified, was undertaken in specific locations selected jointly by the AA International/ FAO PET teams, with the support of local MoA and NGO office subject matter specialists.

**4. Representative fields** were identified within those selected locations. 1 m<sup>2</sup> plots were sub-sampled from these fields to provide the most informative *photo-indicators* for the range of productivity identified.

**5. Photo-indicators** of standing crops were prepared at each site to include photos and data:

- From-a-distance.
- From the 1 m<sup>2</sup> plots which were harvested and threshed.
- Products after harvest from the 1 m<sup>2</sup> plots.

**6.** Where possible, **samples** of crops at the normal *moisture content* for harvest were selected and then weighed. If still moist however, samples were weighed at harvest and later dried and weighed until a constant weight (Wc) was reached in order to determine the exact air-dry matter production obtained from 1 m<sup>2</sup>, for extrapolation to 1 ha.

**7.** All **weights** were recorded and entered into the appropriate column in the PET manual alongside the *photo-indicators* from the same plots.

# ANNEX 7

## Crop area estimates

1. The timing of assessment missions means that, in most cases, harvesting is underway. Therefore, harvested area data will always be incomplete. Consequently, final planted area data may provide the best crop area statistics with which to estimate production.

2. It is easier for visiting assessment teams to estimate yield, as noted in Annex 5, than to estimate area. Regarding area, assessment missions may only ensure that the figures provided to them by the *entry-point* administrations are plausible and that they are within the historically-accepted land available for cultivation. To achieve this, assessment missions with access to *entry-point* data should:

- Watch out for double counting due to administrative boundary changes, which may change the status of villages or even whole districts.
- Be aware of changes in numbers of farming households.
- Be sure to check the units used i) at the point of data collection from administrators and ii) when transcribed into the records.
- If local units are used, check that the conversion factors are consistent and plausible.
- Remember that at most *entry-points*, most data are summated by hand and entered/copied by hand.
- Mistakes invariably occur, so check the calculations.

3. Mission teams should request final planted area data at each *entry-point* for each crop. This means that each crop has a separately recorded area. Complications arise when:

- Two crops are planted in series in the same season, *i.e.* the second one is planted after the harvest of first one. This doubles the occupancy of the area under production whether the two crops are the same or different (relay cropping).
- Two or more crops are grown together in same field during the same season. When planted and harvested at different times, this doubles the occupancy of the field and, therefore, doubles the harvested area (intercropping). Plant densities are likely to be lower in an intercropped field than when each crop is a planted separately in monoculture, so yields/unit area are likely to be lower.

4. In both the circumstances noted above the actual *production* area is twice the *geographical* area. Where data allows, the extent of the increase for the individual crop areas should be noted in the text and identified in tables. A different approach is necessary when:

- Two crops are sown together and the mixed products are harvested together. In such cases (for instance wheat and barley), the area is not doubled and only the area of the dominant crop should be recorded (mixed cropping).

5. Total planted area data received should be:

- Collected at each *entry-point* in their original form. An electronic copy on a memory stick is preferred, or a photocopy or carbon copy; but if neither are available the data should be transcribed from original on to assessment mission sheets.
- Cross-checked for year-to-year and place-to-place consistency in transformation from local measures to international units.
- Compared with the known total agricultural/cultivated area of each *entry-point*.
- Cross-checked against any known changes to numbers of households farming in each *entry-point*.
- Cross-checked against any known boundary changes to eliminate double counting.
- **Compared with last year's main season harvested area in each *entry-point*.**
- Cross-checked with any changes to the planted area of any preceding minor season in each *entry-point*.
- Compared with any known changes to area of industrial crops, tree crops, pasture land, forestry areas or fallowing practices.

6. Individual staple-food crop planted areas should be:

- Compared with the **last 5 years' annual national, regional and *entry-point*** estimates.
- Intercropped areas noted in each *entry-point*.

7. The final database will be achieved through combining information received and adjustments made following the team's field audits, particularly with regard to yield. Remember, by virtue of the facilities placed at their disposal, assessment missions are invariably in a far better position to estimate *actual yields* than the local MoA officers or any other assessing groups. When sure of the overall accuracy, enter the data into a hierarchically-linked, excel spreadsheet using figures rounded-up to an appropriate level.

# ANNEX 8

## PET approach vs conventional statistics survey

<b>IMPORTANT ASPECTS</b>	<b>PET APPROACH WITH PET MANUAL</b>	<b>Conventional STATISTICAL APPROACH</b>
The purposes of the two methods are entirely different, though both are based on objective approaches <sup>12</sup> .	Used for Rapid Appraisals only, when results are required immediately.	Used for national archives and experimental comparisons, when results are not expected immediately.
	Used when only approximate estimates are needed to determine trends for immediate decision making - including, but not limited to, identifying areas of surplus and areas of deficit.	Used to detect the fine differences required for a) retrospective understanding of events and b) making choices between similarly performing varieties/farming techniques.
	Provides an Optimal Level of Accuracy (OLA) that is determined by time and funds available.	Highest level of accuracy expected, which is determined by the statistical techniques adopted.
PET is easily explained to non-specialists.	Can be planned, organised and implemented by non-specialists following short training.	Planning, organising, implementing and controlling require highly trained specialists.
The PET approach does not demand a high level of mathematical/statistical expertise.	Analysis can be done through simple mathematical calculations, no more complicated than weighted averages.	Analysis requires high degree of statistical training.

<sup>12</sup> As opposed to crop production and food security assessments based on post-harvest interviews, which the author notes (having conducted assessments of various types in more than 70 countries) are too easily influenced by vested interests: a) particularly in countries with long histories of institutionalised food aid and b) where crop assessments are known to be used to generate taxable income levels.

<p>PET is an accessible approach.</p>	<p>Every single field can be scored in seconds and quantified in a matter of a few minutes. Missing a few fields does not alter the spatial consistency of what is, at best, a rough estimate.</p>	<p>System accurately describes yield from a few sampled fields only, and disregards all the others. Must be conducted according to the plan or the results are null and void. Sticking to the plan is difficult where there is no proper supervision.</p>
<p>The PET approach provides flexibility.</p>	<p>Allows for cross-checking and correction/adjustments. All fields seen may be scored into main categories. Scoring is then refined and quantified during walking transects, to fine-tune selected levels of performance in specific representative areas.</p>	<p>Inflexible, no adjustments allowed. Without the full rigour of a supervised, statistically valid approach of sampling randomly selected plots within fields, the method can lead to misleading errors, as so few fields are involved; and is open to abuse when only the lowest performing areas are chosen deliberately as the sample.</p>
<p>Farmers can participate in the PET approach.</p>	<p>Farmers can join in PET and select their choice of <i>photo-indicator</i> with the assessors.</p>	<p>No participation possible except at labouring level.</p>
<p>PET teams can be easily checked by their supervisors.</p>	<p>PET is non-destructive. Sample sites are observed and compared to <i>photo-indicators</i> as standing crops. Crop cutting is used for fine tuning observational skills only. Therefore sites can be revisited and checked.</p>	<p>All samples are cut. Exact sites cannot be revisited or checked.</p>

# ANNEX 9

## Grain bulk density factors

**The bulk density is the weight per unit volume of a loose material, such as grain. Different grains have different bulk densities, some being “heavier” (more dense) than others. By multiplying the standard *bulk density factor* of your grain type by the volume that you have, you can estimate weight, and therefore yield.**

**Table 3:** Standard Bulk Density Factors for commonly grown crops:

<b>Crop</b>	<b>Bulk Density in kg/litre (or tonnes/m<sup>3</sup>)</b>
Barley grain	0.62
Chickpea	0.74
Cowpea	0.75
Groundnuts in shell	0.30
Groundnuts, shelled	0.64
Maize (grain)	0.72
Millet	0.63
Navy beans	0.76
Sesame seed	0.59
Sorghum	0.73
Sunflower	0.42
Wheat (grain)	0.77

These values will vary slightly with moisture content, variety, quality, contamination levels etc. so are not exact, but are still useful when used as a tool for estimating crop yield.

For example, using its bulk density factor, an 800 litre heap of maize is estimated to weigh  $800 \times 0.72 = 576$  kg.

# ANNEX 10

## Conversion Factors – tonnes per ha to bags per feddan

Sorghum Production Conversion Chart														
90 kg bags per feddan to a) tonnes per ha and b) grams per square metre.														
b/feddan	t/ha	g/sqm		b/feddan	t/ha	g/sqm		b/feddan	t/ha	g/sqm		b/feddan	t/ha	g/sqm
0.50	0.11	11		8.00	1.71	171		15.50	3.32	332		23.00	4.93	493
1.00	0.21	21		8.50	1.82	182		16.00	3.43	343		23.50	5.03	503
1.50	0.32	32		9.00	1.93	193		16.50	3.53	353		24.00	5.14	514
2.00	0.43	43		9.50	2.03	203		17.00	3.64	364		24.50	5.25	525
2.50	0.54	54		10.00	2.14	214		17.50	3.75	375		25.00	5.36	536
3.00	0.64	64		10.50	2.25	225		18.00	3.86	386		25.50	5.46	546
3.50	0.75	75		11.00	2.36	236		18.50	3.96	396		26.00	5.57	557
4.00	0.86	86		11.50	2.46	246		19.00	4.07	407		26.50	5.68	568
4.50	0.96	96		12.00	2.57	257		19.50	4.18	418		27.00	5.78	578
5.00	1.07	107		12.50	2.68	268		20.00	4.28	428		27.50	5.89	589
5.50	1.18	118		13.00	2.78	278		20.50	4.39	439		28.00	6.00	600
6.00	1.29	129		13.50	2.89	289		21.00	4.50	450		28.50	6.10	610
6.50	1.39	139		14.00	3.00	300		21.50	4.61	461		29.00	6.21	621
7.00	1.50	150		14.50	3.11	311		22.00	4.71	471		29.50	6.32	632
7.50	1.61	161		15.00	3.21	321		22.50	4.82	482		30.00	6.43	643

<b>Maize Production Conversion Chart</b>														
<b>85 kg bags per feddan to a) tonnes per ha and b) grams per square metre.</b>														
<b>b/feddan</b>	<b>t/ha</b>	<b>g/sqm</b>		<b>b/feddan</b>	<b>t/ha</b>	<b>g/sqm</b>		<b>b/feddan</b>	<b>t/ha</b>	<b>g/sqm</b>		<b>b/feddan</b>	<b>t/ha</b>	<b>g/sqm</b>
<b>0.50</b>	<i>0.10</i>	<b>10</b>		<b>8.00</b>	<i>1.62</i>	<b>162</b>		<b>15.50</b>	<i>3.14</i>	<b>314</b>		<b>23.00</b>	<i>4.65</i>	<b>465</b>
<b>1.00</b>	<i>0.20</i>	<b>20</b>		<b>8.50</b>	<i>1.72</i>	<b>172</b>		<b>16.00</b>	<i>3.24</i>	<b>324</b>		<b>23.50</b>	<i>4.75</i>	<b>475</b>
<b>1.50</b>	<i>0.30</i>	<b>30</b>		<b>9.00</b>	<i>1.82</i>	<b>182</b>		<b>16.50</b>	<i>3.34</i>	<b>334</b>		<b>24.00</b>	<i>4.86</i>	<b>486</b>
<b>2.00</b>	<i>0.40</i>	<b>40</b>		<b>9.50</b>	<i>1.92</i>	<b>192</b>		<b>17.00</b>	<i>3.44</i>	<b>344</b>		<b>24.50</b>	<i>4.96</i>	<b>496</b>
<b>2.50</b>	<i>0.51</i>	<b>51</b>		<b>10.00</b>	<i>2.02</i>	<b>202</b>		<b>17.50</b>	<i>3.54</i>	<b>354</b>		<b>25.00</b>	<i>5.06</i>	<b>506</b>
<b>3.00</b>	<i>0.61</i>	<b>61</b>		<b>10.50</b>	<i>2.12</i>	<b>212</b>		<b>18.00</b>	<i>3.64</i>	<b>364</b>		<b>25.50</b>	<i>5.16</i>	<b>516</b>
<b>3.50</b>	<i>0.71</i>	<b>71</b>		<b>11.00</b>	<i>2.23</i>	<b>223</b>		<b>18.50</b>	<i>3.74</i>	<b>374</b>		<b>26.00</b>	<i>5.26</i>	<b>526</b>
<b>4.00</b>	<i>0.81</i>	<b>81</b>		<b>11.50</b>	<i>2.33</i>	<b>233</b>		<b>19.00</b>	<i>3.84</i>	<b>384</b>		<b>26.50</b>	<i>5.36</i>	<b>536</b>
<b>4.50</b>	<i>0.91</i>	<b>91</b>		<b>12.00</b>	<i>2.43</i>	<b>243</b>		<b>19.50</b>	<i>3.94</i>	<b>394</b>		<b>27.00</b>	<i>5.46</i>	<b>546</b>
<b>5.00</b>	<i>1.01</i>	<b>101</b>		<b>12.50</b>	<i>2.53</i>	<b>253</b>		<b>20.00</b>	<i>4.05</i>	<b>405</b>		<b>27.50</b>	<i>5.56</i>	<b>556</b>
<b>5.50</b>	<i>1.11</i>	<b>111</b>		<b>13.00</b>	<i>2.63</i>	<b>263</b>		<b>20.50</b>	<i>4.15</i>	<b>415</b>		<b>28.00</b>	<i>5.66</i>	<b>566</b>
<b>6.00</b>	<i>1.21</i>	<b>121</b>		<b>13.50</b>	<i>2.73</i>	<b>273</b>		<b>21.00</b>	<i>4.25</i>	<b>425</b>		<b>28.50</b>	<i>5.77</i>	<b>577</b>
<b>6.50</b>	<i>1.31</i>	<b>131</b>		<b>14.00</b>	<i>2.83</i>	<b>283</b>		<b>21.50</b>	<i>4.35</i>	<b>435</b>		<b>29.00</b>	<i>5.87</i>	<b>587</b>
<b>7.00</b>	<i>1.42</i>	<b>142</b>		<b>14.50</b>	<i>2.93</i>	<b>293</b>		<b>22.00</b>	<i>4.45</i>	<b>445</b>		<b>29.50</b>	<i>5.97</i>	<b>597</b>
<b>7.50</b>	<i>1.52</i>	<b>152</b>		<b>15.00</b>	<i>3.03</i>	<b>303</b>		<b>22.50</b>	<i>4.55</i>	<b>455</b>		<b>30.00</b>	<i>6.07</i>	<b>607</b>

<b>Sunflowers Production Conversion Chart</b>														
<b>60 kg bags per feddan to a) tonnes per ha and b) grams per square metre.</b>														
<b>b/feddan</b>	<b>t/ha</b>	<b>g/sqm</b>		<b>b/feddan</b>	<b>t/ha</b>	<b>g/sqm</b>		<b>b/feddan</b>	<b>t/ha</b>	<b>g/sqm</b>		<b>b/feddan</b>	<b>t/ha</b>	<b>g/sqm</b>
0.50	0.07	7		8.00	1.14	114		15.50	2.21	221		23.00	3.28	328
1.00	0.14	14		8.50	1.21	121		16.00	2.28	228		23.50	3.36	336
1.50	0.21	21		9.00	1.29	129		16.50	2.36	236		24.00	3.43	343
2.00	0.29	29		9.50	1.36	136		17.00	2.43	243		24.50	3.50	350
2.50	0.36	36		10.00	1.43	143		17.50	2.50	250		25.00	3.57	357
3.00	0.43	43		10.50	1.50	150		18.00	2.57	257		25.50	3.64	364
3.50	0.50	50		11.00	1.57	157		18.50	2.64	264		26.00	3.71	371
4.00	0.57	57		11.50	1.64	164		19.00	2.71	271		26.50	3.78	378
4.50	0.64	64		12.00	1.71	171		19.50	2.78	278		27.00	3.86	386
5.00	0.71	71		12.50	1.79	179		20.00	2.86	286		27.50	3.93	393
5.50	0.79	79		13.00	1.86	186		20.50	2.93	293		28.00	4.00	400
6.00	0.86	86		13.50	1.93	193		21.00	3.00	300		28.50	4.07	407
6.50	0.93	93		14.00	2.00	200		21.50	3.07	307		29.00	4.14	414
7.00	1.00	100		14.50	2.07	207		22.00	3.14	314		29.50	4.21	421
7.50	1.07	107		15.00	2.14	214		22.50	3.21	321		30.00	4.28	428



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